







### **Deliverable 4.1**

### **Earth Observation Applications**

Lectures hand-book

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	Lecture 1: Introduction to ESA Earth Observation and evolution – current and next generation missions

#### 









1. Introduction to ESA Earth Observation and evolution – current and next generation missions

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 Early 1960s - 6 European countries (Belgium, France, Germany, Italy, the Netherlands and the UK) formed the European Launcher Development
 Organisation (ELDO) to develop a heavy launcher (called 'Europa')

- Those same countries, plus Denmark, Spain, Sweden and Switzerland, established the European Space Research Organisation (ESRO), soon after, to undertake mainly scientific satellite programmes.
- 1975 a convention was drafted to set up one 'European space agency' (ESA), and broadening the scope of the agency's remit to include operational space applications systems, e.g. telecommunications satellites
- $\rightarrow$  30 May 1975 signing of the ESA Convention



#### Signing of ESA Convention

Source: https://www.esa.int/ESA\_Multimedia/Images/2015/05/ Signing\_of\_ESA\_Convention

### Purpose of The European Space Agency (ESA)

"To provide for and promote, for **exclusively peaceful purposes**, cooperation among European states in **space research** and **technology** and their **space applications.**"

Article 2 of the ESA Convention



Source: https://www.isprs.org/proceedings/2018/2018-Dehradun-IPAC-Session/A6\_ESA-Copernicus-HOSFORD.pdf

### **ESA** facts

- Over 50 years of experience
- 22 Member States
- Eight establishments/facilities in Europe
- Approximately 2300 employees
- Over 80 satellites designed, tested and operated in flight



Source: https://www.esa.int/About\_Us/Corporate\_news/ESA\_facts

### **ESA Membership**

#### 22 Member States

Austria Belgium Czech Republic Denmark Estonia Finland France Germany Greece Hungary Ireland

Italy Luxembourg Netherlands Norway Poland Portugal Romania Spain Sweden Switzerland United Kingdom

Associate Members Slovakia, Latvia, Lithuania, Slovenia

**Cooperation Agreements** Bulgaria, Croatia, Cyprus, Malta, Canada



Source: https://www.esa.int/About\_Us/Corporate\_news/Member\_States\_Cooperating\_States

### **ESA's locations**



### Activities

- ESA is one of the few space agencies in the world to combine responsibility in nearly all areas of space activity.
- Space science is a Mandatory programme, all Member States contribute to it according to GNP.
   All other programmes are Optional, funded by Participating States.



Source: https://esamultimedia.esa.int/docs/corporate/ESA\_Corporate\_Presentation\_Fr.pdf

### **ESA-Developed Earth Observation Missions**



Source: https://www.esa.int/Applications/Observing\_the\_Earth/Space\_for\_our\_climate/Earth\_Day\_taking\_the\_pulse\_of\_our\_planet

### **Devising Earth Observation Missions**

Member StatesEUEUMETSATIndustryEarth ExplorersCopernicusMeteorologyInCubedImage: StatesImage: StatesI

 Determined by scientific collaborators within Member States through Open Calls Goals are established by partners and industry stakeholders
The mission's definition is a collaborative effort involving ESA, industry partners, and users

Inspired by https://esto.nasa.gov/wp-content/uploads/2020/07/Rosello-Plenary.pdf

Source: https://www.esa.int/Applications/Observing\_the\_Earth/FutureEO/Call\_opens\_for\_ESA\_s\_twelfth\_Earth\_Explorer, https://www.esa.int/Space\_in\_Member\_States/Austria/ESA\_headquarters, https://www.eumetsat.int/about-us/our-facilities, https://www.esa.int/Applications/Observing\_the\_Earth/Strengthening\_InCubed\_s\_role\_in\_commercial\_Earth\_observation, For more information, see the tutorial: <u>1. Introduction to ESA Earth Observation</u> <u>and evolution – ESA EO data on the web</u>



# **Science: Earth Explorers**



### **Science: Earth Explorers**



Source: https://www.esa.int/ESA\_Multimedia/Images/2022/08/ESA\_s\_Earth\_Explorer\_missions

### **The Earth Explorers Missions**

- Science driven programme
- Mission selection proposed by "Advisory Committee for Earth Observation"
- Financed through the Earth Observation Envelope Programme (EOEP)
- One mission every 2 years (on average)



Source: https://www.csun.edu/science/books/sourcebook/chapters/8-organizing/files/earth-systems-interactions.html

### GOCE

(Gravity field and steady-state Ocean Circulation Explorer)

 $V(r, g, \lambda) = \frac{GM}{r} + \frac{GM}{r} \frac{I_{max}}{\sum_{l=2}^{l} m=0} \frac{I}{r} \frac{(q)}{r}$ 

 $ps(m\lambda)$ 

#### First Earth Explorer

 Mapping the gravity field to advance research in Earth-interior processes, oceanography and geodesy
 Launched 17 March 2009

Source: https://www.esa.int/ESA\_Multimedia/Images/2008/04/GOCE\_will\_advance\_many\_fields\_of\_science

### **GOCE: Earth's Geoid**

- Model of the 'geoid' with unprecedented accuracy and spatial resolution
- Crucial reference for measuring ocean circulation and sea-level change

https://visioterra.net/VtGsep/

### **GOCE: Ocean Currents**

12 30



Weekly evolution of ocean surface currents from January 1993 to December 2011.

Gravity data from GOCE geoid & sea altimetry data

© ESA/CNES/CLS

Source: https://www.esa.int/ESA\_Multimedia/Images/2014/11/Ocean\_currents\_from\_GOCE

### SMOS

#### (Soil Moisture and Ocean Salinity)

### Second Earth Explorer

- Launched 2 November 2009
- Global observations of soil moisture over landmasses and salinity over the oceans

### **SMOS: Sea-ice change**



Based on measurements from the SMOS mission, the animation shows changes in sea-ice thickness during November between 2010 and 2016. Although designed to improve our understanding of Earth's water cycle, SMOS is now being used to provide accurate measurements of thin sea-ice, complementing the CryoSat mission

Source: © University of Hamburg

### **SMOS: Global sea-surface salinity**



Global sea-surface salinity maps from ESA's Climate Change Initiative showing the difference for the same period in 2012 and in 2017. Note the differences in the spreading of the Amazon and Mississippi River plumes.

Source: https://www.esa.int/ESA\_Multimedia/Images/2019/11/Global\_sea-surface\_salinity\_2012\_and\_2017

### CRYOSAT

### Third Earth Explorer

- Launched 8 Nov. 2010
- Precise monitoring of changes in the thickness of marine ice floating in the polar oceans
- Variations in the thickness of the vast ice sheets that blanket Greenland and Antarctica

### **Greenland meltwater runoff**

eesa

Summer elevation change

0

-0.5m

2011 🖑 🗉

Extreme ice melting events in Greenland have become more frequent and more intense over the past 40 years, raising sea levels and the risk of flooding worldwide. © ESA/Planetary Visions

### **CRYOSAT: Altimeter readings**





CryoSat altimeter readings over the Danube River delta in eastern Romania. The radar image shows different radar reflection intensities from the Black Sea, Danube Delta's wetland and elevated land.

Over wetlands, due to the to the standing waters, points of bright radar reflections are pictured in red, whereas over sea or land they appear yellow.

These readings are of unprecedented sharpness compared to previous altimeters.

Source: https://www.esa.int/ESA\_Multimedia/Images/2019/11/Global\_seasurface\_salinity\_2012\_and\_2017

### SWARM

agnetosphere

### Fourth Earth Explorer Mission

mantle conductivity

- Launched 22 Nov. 2013
- Identify and measure precisely the different magnetic signals that make up Earth's magnetic field

min(n,12

ocean flow

 $(f_{knsp}^{lm})^* S_{nsp,i}^m$ 

Sm

nsp.

### Swarm: Jet stream in Earth's core



ESA's Swarm satellites have led the discovery of a jet stream in the liquid iron part of Earth's core 3000 km beneath the surface. In addition, Swarm satellite data show that this jet stream is speeding up.

### SWARM (with CHAMP): Lithospheric Magnetic Field



The highest resolution map of Earth's lithospheric magnetic field from space to date The dataset combines measurements from ESA's Swarm satellites with historical data from the German CHAMP satellite using a new modelling technique that allowed scientists to extract tiny magnetic signals from Earth's outer layer. Red represents areas where the lithospheric magnetic field is positive, while blue show areas where it is negative.

### Magnetic anomaly: Bangui

One of the anomalies occurs in Central African Republic, centred on the city of Bangui, where the magnetic field is significantly sharper and stronger.

The cause for this anomaly is still unknown, but some scientists speculate that it may be the result of a meteorite impact more than 540 million years ago.

## AEOLUS

Fifth Earth Explorer Mission

Advance our understanding
 of atmospheric dynamics

 Improving numerical weather prediction models' forecast accuracy

### **AEOLUS: Wind profiles**

- Aeolus satellite is the first satellite mission to profile Earth's winds directly from space
- Its data are being used to understand how wind, pressure, temperature and humidity are interlinked to contribute to climate research, and also now in near-realtime for weather forecasting
- This image is an example of Level-2B
   Rayleigh wind velocity in metres per second
   over Europe on 6 May 2020 at 06:00 UTC.

Aeolus rayleigh wind velocity (m/s)

ttps://www.esa.int/Applications/Observing\_the arth/FutureEO/Aeolus/Aeolus\_goes\_public

### **AEOLUS: Ash plumes**



The ash plume after an eruption at Mount Etna on 12 March 2021 travelling over Greece, with and without Aeolus data assimilation.

Forecasting volcanic ash in the atmosphere is crucial for aviation

Source: https://www.esa.int/ESA\_Multimedia/Images/2023/05/Flights\_crossing\_the\_Mount\_Etna\_volcanic\_ash\_plume



# **Future Earth Explorers**

Source: ESA artist's concept by P. Carril, ESA/ATG médialab, https://www.esa.int/ESA\_Multimedia/Images/2013/12/Artist\_s\_view\_of\_EarthCARE2. https://casi.ca/resources/Documents/AERO/2019/Abstracts%20Submitted/2019-06-ESA-EO-ASTRO.pdf

### **Upcoming Earth Explorers**

## EarthCARE

- Clouds, aerosols & radiation
- High preformance lidar tech.
- Partnership JAXA
- Launch planned 2021



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### **Upcoming Earth Explorers**



### **Biomass**

- Biomass estimates
- First P-band SAR in space
- Launch planned 2022

### FLEX

8

• Vegetation fluorescence, indicator of photosynthesis

• Launch planned 2022

Source:ESA, https://casi.ca/resources/Documents/AERO/2019/Abstracts%20Submitted/2019-06-ESA-EO-STRO.pdf /, ESA/ATG medialab, https://education.nationalgeographic.org/resource/rain-forest/

### **Future missions**



## FORUM

Harmony

- Planet's radiation budget
- improve climate models
- Launch planned 2027



# 10

- High-resolution observations of motion occurring at or near Earth's surface
- Launch planned 2029

Source:https://www.forum-ee9.eu/the https://www.esa.int/ESA\_Multimedia/



https://www.esa.int/Applications/Observing\_the\_Earth/FutureEO/ESA\_selects\_Harmony\_as\_tenth\_Earth\_Explorer\_mini-

### **Future missions**

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## Earth Explorer 11

- Four mission ideas were selected to enter pre-feasibility study in June 2021:
- Cairt (changing-atmosphere IR tomography),
- Nitrosat (measuring NO2 and NH3),
- Wivern (measuring wind in clouds, delivering profiles of rain, snow and ice water)
- Seastar (providing ocean surface current and surface wind vectors at 1 km resolution for all the coastal ocean, shelf seas and marginal ice zones)
- Launch planned 2031-2032



### **Future missions**

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### Earth Explorer 12

 A Call for Ideas was issued on 20 February 2023. The deadline to submit a full proposal is 29 September 2023
# **Environmental Monitoring - Copernicus**



#### **Environmental Monitoring: Copernicus Sentinels**



European response to global needs:

- to manage the environment
- to mitigate the effects of climate change
- to ensure civil security

European independence, contribution to global system (GEOSS)



Source: https://sentinels.copernicus.eu/web/sentinel/videos

#### Copernicus - the largest producer of EO data in the world



Source: https://www.isprs.org/proceedings/2018/2018-Dehradun-IPAC-Session/A6\_ESA-Copernicus-HOSFORD.pdf:

#### **Further reading**



#### Satellite Technology: Principles and Applications, 3rd Edition

Fully updated edition of the comprehensive, single-source reference on satellite technology and its applications Covering both the technology and its applications, Satellite Technology is a concise reference on satellites for commercial, scientific and military purposes. The book explains satellite technology fully, beginning by offering an introduction to the fundamentals,

www.wiley.com

#### https://www.wiley.com/en-us/Satellite+Technology:+Principles+and+Applications,+3rd+Edition-p-9781118636459



#### VŠ učebnica - EO-ESA

Earth Observations with ESA missionje projekt, ktorý je riešený na základe zmluvy Contact No.4000133959/21/NL/SC medzi Európskou vesmírnou agentúrou ESA, inštitúciou ESTEC - The European Space Research and Technology Centre a Technickou univerzitou v Košiciach, Fakultou baníctva, ekológie, riadenia a geotechnológií, v rámci 5. projektovej výzvy programu PECS – Plan for European Cooperating States.

eo-esa.fberg.tuke.sk

https://eo-esa.fberg.tuke.sk/vysokoskolska-ucebnica/



#### History of Europe in space



www.esa.int

https://www.esa.int/About Us/ESA history/History of Europe in space







2. ESA EO Data Access and resources, including Third Party missions, applications of Copernicus Earth Observation data

# **ESA EO Data Access and resources**



#### **ESA Earth Observation Data Policy**

- To stimulate a <u>balanced development</u> of Science, Public Utility and Commercial Applications
- To maximize the use of data from ESA EO satellites



Source: https://www.esa.int/Applications/Observing\_the\_Earth/Envisat/ESA\_declares\_end\_of\_mission\_for\_Envisat, https://earth.esa.int/eogateway/news/esa-s-excellent-earth-explorer-missions-extended-to-2025/esa-s-ice-mission, https://www.esa.int/ESA\_Multimedia/Images/2012/12/Pleiades#.XoNYGqecnA8.link

#### EO data access

#### Free open source platforms

- Copernicus Open Access Hub
- Earth System Lab
- ESA Thematic Exploitation Platforms
- Alaska Satellite Facility
- Copernicus Global Land Service
- Copernicus Data Space Ecosystem
- Sentinel Data Access Service
- USGS Earth Explorer
- Sentinel Application Platform software
- Open Data Cube

Source https://business.esa.int/sites/business/files/Guide%20-%20Where%20to%20access%20EO%20data.pdf, https://www.esa.int/ESA\_Multimedia/Images/2013/04/Namib\_Desert:



### **Copernicus Data Space Ecosystem**

#### https://dataspace.copernicus.eu/



• Since 24 January 2023 a new Copernicus Data Space Ecosystem has been launched to provide free and open access to EO data from all Sentinel satellites with new features for visualisation and data processing.

## **Copernicus Open Access Hub**

#### https://scihub.copernicus.eu/



 The previous Copernicus Open Access Hub provided complete, free and open access to Sentinel-1, Sentinel-2, Sentinel-3 and Sentinel-5P user products

Source: https://scihub.copernicus.eu

## **SNAP (Sentinel Application Platform) software**

http://step.esa.int/main/download/snap-download/



 ESA Sentinel Application Platform (SNAP) is a software toolkit developed by the ESA for processing and analyzing Earth observation data, particularly data from the Sentinel satellites. SNAP is part of the Sentinel Toolbox and is freely available to the public. It provides a user-friendly interface and a comprehensive set of tools also for working with a variety of other remote sensing data.

#### EO data access

#### Partially open-source EO platforms

- EO Browser Sentinel Hub
- DIAS Copernicus Data & Information Access Services
- Google Earth Engine
- Earth on AWS

For more information, see the tutorial: 2. ESA EO Data Access and Selection, applications of Copernicus Earth Observation data



### **EO Browser - SENTINEL Hub**

https://apps.sentinel-hub.com/eo-browser/



Source: https://apps.sentinel-hub.com/eo-browser

#### EO data access

#### **Commercial EO platforms**

- DigitalGlobe / Maxar
- OneAtlas
- Planet platform
- e-Geos
- Decartes Labs



# Copernicus programme – a new Phase in EO

#### **Copernicus purpose and architecture**



### **Space component**

The Earth observation satellites which provide the data exploited by the Copernicus services are split into two groups of missions:

• Sentinels - developed for the specific needs of the Copernicus programme - Sentinel-1, -2, -3, -5P, -6, - Sentinel-4, -5



## **Space component**

- Contributing Missions
  - operated by National, European or International organisations
  - already provide a wealth of data for Copernicus services



#### **Sentinel-1 – Radar vision**

Mission objectives:

- Marime and land monitoring
- Emergency management

Mission profile:

- C-Band SAR mission at 5.4 GHz
- Multi-polarisation
- Sun synchronous orbit at 693 km mean alt.
- 6 days repeat cycle at Equator with 2 satellites
- 4 operation modes



## **Sentinel-1 – Applications**

-20 cm/yr

+20 cm/yr

Antarctic Peninsula glacier flow Grande America oil spill imaged Towing the Costa Concordia Maritime surveillance: Ice Monitoring Oil Spill Monitoring Ship Detection arsen Ice Shelf • Marine Winds, Etc. Rice-cropping systems in Vietnam's Subsidence Mexico City Wetland map of Uganda **Red River Delta** Land monitoring 105°40'0" 106"0'0"E 106°20'0"E 106"40'0"E • Forestry • Agriculture

105°40'0"E

106"0'0"E

Single cropped rice

106"40'0"E

106°20'0"E

- Ground deformation
- Urban planning
- Soil Moisture, Etc.

## **Sentinel-1 – Applications**

Emergency management:

• Flood Monitoring

- Earthquake Analysis
- Landslide and volcano monitoring, etc.



Mission objectives:

- Land management
- Agriculture
- Forestry
- Humanitarian relief operations
- Risk mapping and security concerns



13 MSI bands are optimized for accurate atmospheric correction and vegetation monitoring Source: http://esamultimedia.esa.int/docs/EarthObservation/Sentinel-2\_ESA\_Bulletin161.pdf

Mission profile:

- Multi-Spectral imaging mission
- Sun-synchronous orbit 786 km,
- 290 km swath with 13 spectral bands (VIS, NIR & SWIR), at 10, 20 and 60 m spatial resolution
- 5 day revisit at Equator with 2 satellites

Sentinel-2 Constellation Observation Scenario: Revisit Frequency



Validity start: June 2022

5 days 10 days 10 days access from alternated tracks

https://sentinels.copernicus.eu

### **Sentinel-2 – Applications**





#### **Sentinel-2 – Applications**



### **Sentinel-2 – Applications**



Mission objectives:

- Ocean, inland sea, coastal zone colour measurements
- Sea surface temperature measurements
- Sea surface topography measurements

Mission profile:

- Operational mission in high-inclination, low Earth orbit
- Orbital cycle is 27 days
- Ocean and Land Colour Instrument (OLCI), Sea and Land Surface Temperature Radiometer (SLSTR), SAR Radar Altimeter (SRAL), MicroWave Radiometer (MWR) and Precise Orbit Determination (POD) instruments
- Full performance achieved with 2 satellites in orbit





### **Sentinel-3 – Applications**



#### **Sentinel-3 – Applications**



Greenland snow grain and albedo

### Sentinel-4 – European air monitoring

Mission objectives:

- Observing the diurnal cycle of the tropospheric composition over Europe and North Africa
- Monitoring in particular key air quality trace gases like O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub>, HCHO, CHOCHO, as well as aerosol and cloud properties

#### Mission profile:

- Passive imaging spectrometer
- Three spectrometric bands: UV (305-400 nm), VIS (400-500 nm) and NIR (750-775 nm)
- Push-broom scanning (scan E/W direction)
- Spatial resolution: 8x8 km<sup>2</sup>
- Revisit time: about 60 min





A pioneering new constellation of three space-based instruments has started to take shape to advance global air quality science and monitoring. Credits: NASA

Mission objectives:

- Measuring, at the Top Of Atmosphere (TOA), the solar radiation reflected by and radiated from the earth
- Monitoring air quality, stratospheric ozone layer and climate change monitoring + forecasting

Mission profile:

- TROPOMI space-borne, nadir-viewing, imaging spectrometer covering UV and SWIR bands
- Push-broom configuration (non-scanning), with a swath width of ~2600 km on the Earth's surface
- The typical pixel size (near nadir) will be 7x3.5 km<sup>2</sup> for all spectral bands, with the exception of the UV1 band (7x28 km<sup>2</sup>) and SWIR bands (7x7 km<sup>2</sup>).



Ozone hole extension 2022. Credits: ESA



Cloud coverage seen in high resolution with Sentinel-5P. Credits: ESA

### **Sentinel-5P - Sentinel-5 – Applications**



Methane enhancement over Libya

Nitrogen dioxide concentrations over Europe

### **Sentinel-5P - Sentinel-5 – Applications**



Nitrogen dioxide concentrations over China

Nitrogen dioxide tropospheric column



### Sentinel-6/Jason-CS – Surfing the seas

Mission objectives:

- Provide continuity of satellite altimetry measurements
- The mission will extend this measurement time series to ~2030+
- Reference mission in the CEOScoordinated virtual constellation of ocean surface topography missions

#### Mission profile:

 High Resolution altimetry based on unfocused SAR (Synthetic Aperture Radar) processing combined with the conventional Low Resolution Mode (LRM) altimetry;



20°E

30°E

40°E

50°F

Credits: ESA

#### **Sentinel-6/Jason-CS – Applications**



The images of Russia's Ozero Nayval Lagoon and surrounding rivers show multiple views from Copernicus satellites. The first is a 10-m resolution 'camera-like' image captured on 29 October 2020 by Copernicus Sentinel-2. The image is marked with the ground track of Copernicus Sentinel-6 as it crosses the region. The second is a radar image captured on 29 November 2020 by Copernicus Sentinel-1 in interferometric wide swath mode and processed to 10 m resolution. The lagoon has frozen over and numerous cracks are visible in the ice. Ocean swell and wind sea roughness are also seen in the ocean with some wave reflection and refraction on the southern coastal areas. The next image uses Copernicus Sentinel-6 pulse-limited low-resolution mode data for the same area. In this mode, similar to Jason-3, the strongest radar reflections appear as overlapping parabola features, but no discrimination of the ground can be made. Overlying the third image, the Copernicus Sentinel-6 Poseidon-4 fully-focused synthetic aperture radar image reveals features of the Ozero Nayvak Peninsular in fine detail. Credits: ESA

#### Service component

Copernicus services – provided free of charge for users:




#### **Service component - Atmosphere**



The service focuses on five main areas:

- Air quality and atmospheric composition;
- Ozone layer and ultra-violet radiation;
- Emissions and surface fluxes;
- Solar radiation;
- Climate forcing.:

#### **Service component - Atmosphere**



#### **Regulated** pollutants

Forecasts of the five main air pollutants regulated by the European Union and the World Health Organization air quality standards: nitrogen dioxide (NO2), ozone (O3), coarse particulate matter (PM10), fine particulate matter (PM2.5) and sulphur dioxide (SO2).

Access the charts >

# 

#### Other air quality pollutants

European forecasts for other air quality pollutants: ammonia, carbon monoxide, formaldehyde, glyoxal, nitrogen monoxide, non-methane VOCs, peroxyacyl nitrates.



European air quality forecast plots. Credits: ESA

### **Service component - Marine**

Provides free, regular and systematic authoritative information on the state of the Blue (physical), White (sea ice) and Green (biogeochemical) ocean, on a global and regional scale.

- combating pollution
- marine protection
- maritime safety and routing
- sustainable use of ocean resources
- developing renew. marine energy resources
- supporting blue growth
- climate monitoring, forecasting, etc.



Support to coral reef protection: Coral Guardian.

Rheticus Marine for Water and Food Security Planning and Investments in Indonesia



### **Service component - Land**

- The systematic monitoring of biophysical parameters
- Land cover and land use mapping
- Thematic hot-spot mapping
- Imagery and reference data
- Ground motion



#### **Dynamic Land Cover**

The Dynamic Land Cover product provides a primary land cover scheme at three classification levels with class definitions according to the Land Cover Classification System (LCCS) scheme. The product is produced annually, and the actual version of the product (V3.0) is available for the 2015-2019 reference years.



#### **CORINE Land Cover**

CORINE Land Cover is a pan-European land cover inventory with 44 thematic classes. Initiated in 1985 (the 1990 reference year) the inventory is available for the 1990, 2000, 2006, 2012 and 2018 reference years including change layers 1990-2000, 2006-2012 and 2012-2018.



Lower left, ground motion in the surroundings of the Hambach surface mine in Germany; lower right, landslides in the slopes of a fjord near Tromsø (Norway).







3. Key concepts and physical principles of remote sensing methods: electromagnetic energy, its properties, spectral behaviour and interaction with the environment

### **Principle of Remote Sensing**

Detection and discrimination of objects or surface features = detecting and recording of electromagnetic radiation reflected or emitted by objects or surface material



Different objects return different amount and kind of energy in different bands of the EMG spectrum.

### **Remote Sensing Sensors**



- Dependent on a natural source (e.g. Sun, Earth) to provide energy
- The satellite sensor records primarily the radiation that is reflected from the target



- Use an artificial source for energy
- The satellite itself can send a pulse of energy which can interact with the target
- Can be carried out during day and night and in all weather conditions

Source: https://www.earthdata.nasa.gov/learn/backgrounders/passive-sensors, https://www.earthdata.nasa.gov/learn/backgrounders/passive-sensors

#### **Properties of Electromagnetic radiation**

Electromagnetic radiation has properties of <u>waves</u> but also can be thought of as a <u>stream of particles (quantum).</u>





#### **Electromagnetic wave**

According to Maxwell's EM wave theory, light waves are related to changing electric fields and magnetic fields. The change within the electrical and magnetic field leads to the propagation of electromagnetic waves or light waves (c = 299 792 458 m.s<sup>-1</sup>)



- Wavelength and frequency have an inverse relationship, as indicated by the equation. If wavelength increases, then frequency decreases and vice versa.
- Wavelength is the distance between identical points (adjacent crests) in the adjacent cycles of a waveform signal propagated in space or along a wire.
- The amplitude of an electromagnetic wave is the height of the wave crest above the undisturbed position. This frequency is measured in cycles per second, or hertz



**Inverse Relationship between Wavelength and Frequency** 

#### Blackbody radiation

- Object or system which absorbs all radiation incident upon it and reradiates energy
- All matter with a temperature above absolute zero (k) radiates energy in the form of EM waves of various wavelenghts
- By measuring the blackbody emission curves at different temperatures, it was able to construct two phenomenological Laws: Stefan-Boltzmann's Law and Wien's Displacement Law



#### **Blackbody radiation**

#### Energy of a photon

We can measure the energy of a photon using Einstein's equation:

$$E = hf = \frac{hc}{\lambda}$$

h = 6.63 x 10<sup>-34</sup> Js  $\rightarrow$  Planck constant

f = frequency of photon/electromagnetic radiation

 $c = 3 \times 10^8 \text{ m/s} \rightarrow \text{speed of light in a vacuum}$ 

 $\lambda$  = wavelength of photon/electromagnetic radiation

#### Stefan-Boltzmann law

We can measure the energy of a photon using Einstein's equation:

 $I = \sigma T^4$ 

I is the intensity of emitted em radiation in  $W/m^2$ 

 $\sigma$  (lower case sigma) is the Stefan-Boltzmann constant 5.67  $\times$  10  $^{-8}$  W m  $^{-2}$  K  $^{-4}$ 

T is the temperature in kelvin, K



Source:http://lifeng.lamost.org/courses/astrotoday/CHAISSON/AT304/HTML/AT3040 2.HTMBAk

#### **Blackbody radiation**



$$\Delta Q = Q_m - Q_n = h.\gamma \qquad \gamma - frequency(ni)$$

Planck constant h=6,625.10<sup>-34</sup>J.s

Source: Jensen (2005)

### **Electromagnetic bands used in Remote Sensing**





- The Sun produces a continuous spectrum of energy from gamma rays to radio waves that continually bathe the Earth in energy.
- The portion of the spectrum may be measured using wavelength, frequency or electron volts (eV). All units are interchangeable.

Source: https://www.researchgate.net/publication/326025281\_Review\_on\_Material\_Processing\_Through\_Microwave\_Energy, https://www.iasnotes.in/2022/10/insolation-and-heat-budget-vision-ias-notes-upsc-classnotes.html

#### **Electromagnetic bands used in Remote Sensing**





Source: https://ltb.itc.utwente.nl/498/concept/81525, https://rpubs.com/GeospatialEcologist/RS, https://www.mdpi.com/2226-4310/9/4/213

#### **Electromagnetic bands used in Remote Sensing**



### Interaction of EMR with atmosphere

- Energy recorded by RS systems undergoes fundamental interactions that should be understood to properly interpret the remotely sensed data. For example, if the energy comes from the Sun, the energy:
- propagates through the vacuum of space at the speed of light
- interacts with the Earth's atmosphere
- interacts with the Earth's surface
- <u>interacts with the Earth's atmosphere once</u> <u>again</u>
- finally reaches the remote sensor where it interacts with various optical systems, etc.



Source:https://atmos.eoc.dlr.de/projects/scops/sciamachy\_book/sciamachy\_book \_figures\_springer/chapter\_7/fig\_7\_1.jpg

For	mor	e informa	ation.	see	the	tut	orial:
3.	Key	concepts	of	remote	sens	ing	<u>data</u>
proc	essing	,converting	DN	values	to rad	iance	and
reflectance, using SNAP software							

#### Interaction of EMR with atmosphere

• Upon interaction with matter, EMR can undergo transmission, reflection, refraction, diffraction, adsorption and scattering



Source: Robinson and Dean, 2017, https://socratic.org/questions/how-can-refraction-make-a-rainbow-1

- There are essentially three types of scattering:
  - Rayleigh
  - Mie
  - Non-selective
- Type of scattering is a function of:
  - 1) the wavelength of the incident radiant energy, and
  - the size of the gas molecule, dust particle, and/or water vapor droplet encountered





- The Rayleigh scattering effect in the atmosphere causes greater reflection of shorter wavelengths (blue color) – blue color of clear sky
- Involves particles smaller than the wavelength of incident light

Source: http://hyperphysics.phttps://www.researchgate.net/figure/Rayleigh-scattering-causes-us-to-perceive-a-blue-sky-during-daytime-and-a-red-sky-at\_fig15\_233793398, hy-astr.gsu.edu/hbase/atmos/blusky.html, https://fineartamerica.com/featured/red-sunset-jasna-buncic.html, https://theconversation.com/explainer-why-is-the-sky-blue-10821,

#### Rayleigh scattering



Before Rayleigh correction

After Rayleigh correction

Source: https://forum.step.esa.int/t/still-a-strong-rayleigh-signal-after-rayleigh-correction/7006/8:

#### Mie scattering

#### $\emptyset \approx \lambda$

Clearer effect at longer  $\lambda$ 

- Predominates for particle sizes equal or larger than a wavelength (0.1 10 times the wavelength)
- Occurs below 4.5 km in the atmosphere more spherical particles with diameters approx. equal to the size of the wavelength of the incident energy (aerosols, pollution, dust particles, etc.)
- Produces almost white glare around the sun when a lot of particulate material is present in the air
- White light from mist and fog
- Contributes to the reddish sunsets

Source: http://www.china.org.cn/travel/2017-07/06/content\_41165327.htm, https://medium.com/@elif\_erkk/the-color-of-clouds-and-the-color-of-the-sky-a-dance-of-light-and-scattering-acbf2e66fe22



#### Non-selective scattering

 $\emptyset > \lambda$ 

Similar at different  $\lambda$ : clouds.

- Takes place in the lower parts of the atmosphere where the particles are larger than 10 times the wavelength of the incident radiation
- Here, any wavelength can be scattered equally effectively, hence the clouds appear white, for example











4. Optical remote sensing using ESA Copernicus data: sensors and platforms, image metadata, image resolution (spectral, spatial, temporal and radiometric resolution)

# **Sensors and platforms**

ource: https://www.esa.int/ESA\_Multimedia/Images/2022/09/Copernicus\_Sentinel\_family

## **Types of sensors**



Source: https://www.esa.int/var/esa/storage/images/esa\_multimedia/images/2022/09/copernicus\_sentinel\_family/24451531-1-engGB/Copernicus\_Sentinel\_family\_pillars.jpg?fbclid=lwAR0 Fj0JjjxucGiN6ICSRwqPmvLd1T1F5eGgv6pr7kNp53\_T3XFHfv8Hojk4, https://www.geospatialworld.net/blogs/observing-the-earth-fueling-global-development-solutions/, https://www.geospatialworld.net/blogs/observing-the-earth-fueling-global-development-solutions/,

https://www.researchgate.net/publication/224136559\_The\_Soil\_Moisture\_Active\_and\_Passive\_SMAP\_mission/figures?lo=1, https://www.iqservices.eu/sk/novinky/faro-focus-core.html

## **Types of platforms**

Platforms = the vehicles or carriers for remote sensing devices

#### 3 main platforms:

- Terrestrial/ground based
- Airborne
- Spaceborne





True-colour Multi-spectral False-colour Multi-spectral



LiDAR 3D point cloud



Synthetic Aperture Radar

Source: https://l.facebook.com/l.php?u=https%3A%2F%2Fleclab.wixsite.com%2Fspatial%2Fpost%2Fapplications-in-remote-sensing-to-forest-ecology-and-management%3Ffbclid%3DIwAR 2FmvF9puHnHJ2uK9nkkn2M17rsHxPN2oAvmXsTpJs9ALWnUSEoGwXVPw&h=AT03SEz8ODeTVHYAj5\_j0w1yhRDd7\_5GJQGRn5FLlgfUYVaXrZsOSK3E7IwfOd78qB94URBYG7IDfCtM0 MRpRfEd7rBDDowQZTLJ4Gu8gk9ldpkxlYSKzHH1JZbM4LadoKg

## **Types of satellites**

Today man-made or artificial satellites are widely used for a large number of purposes.

Hence such satellites are classified into six major types:

- Astronomical
- Communication
- Weather
- Remote sensing
- Navigation
- Reconnaissance satellites



Source: https://www.vectorstock.com/royalty-free-vector/satellites-types-composition-vector-45762172

### **Types of satellites**



Earth Observation satellites provide information about earth resources, weather, climate, and environmental monitoring. Imaging satellites produce high-resolution data of almost the entire landmass on earth.

#### 830 satellites

17.84% of total satellites used for earth observation purposes.

Earth observation satellite systems

ゝ

Landsat program a joint NASA / USGS program launched on 23 July 1972.

**Doves satellites** operated by Planet Labs PBC, the Doves satellites weigh only 5.8 kg each and provides 3-meter multispectral image resolution for humanitarian and





#### Metadata

- In the Earth observation domain, metadata is the descriptive information about the data
- Metadata provides the user information about the content, source, quality, condition for use, lineage, and other relevant characteristics

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# Image resolution







### **Image Resolution**







- Spatial the size of the field-of-view per pixel, e.g. 10 x 10 m
- **Spectral** the number and size of spectral regions the sensor records data in, e.g. blue, green, red, near-infrared, thermal infrared, microwave (radar).
- Radiometric the sensitivity of detectors to small differences in electromagnetic energy. (8bit, 16bit)
- **Temporal** how often the sensor acquires data, e.g. every 30 days

### **Spectral resolution**

• The bandwidth (width), number, and position of specific wavelength bands that a sensor system can record



Source: https://www.researchgate.net/publication/324537528\_Conservation\_Technology\_Series\_Issue\_4\_SATELLITE\_REMOTE\_SENSING\_FOR\_CONSERVATION/figures?Io=1/

### **Spectral resolution**

• The bandwidth (width), number, and position of specific wavelength bands that a sensor system can record

Comparison of Landsat 7 and 8 bands with Sentinel-2



	Sentinel-2A MSI			Landsat 8 OLI			
Band	Spectral region	Wavelength range (nm)	Resolution (m)	Spectral region region	Wavelength range (nm)	Resolution (m)	
B1				Blue	435-451	30	
B2	Blue	458-523	10	Blue	452-512	30	
B3	Green peak	543-578	10	Green	533-590	30	
<b>B</b> 4	Red	650-680	10	Red	636-673	30	
B5	Red edge	698-713	20	NIR	851-879	30	
B6	Red edge	733–748	20	SWIR1	1566-1651	30	
B7	Red edge	773-793	20	SWIR2	2107-2294	30	
<b>B</b> 8	NIR	785-899	10				
B8A	NIR narrow	855-875	20				
B11	SWIR	1565-1655	20				
B12	SWIR	2100-2280	20				
#### **Spectral resolution**



#### **Spectral resolution vs. spectral curve**





Center pivot irrigation, NASA Landsat, 2020





Lake Tahoe, NASA Landsat, 2020 nir - red - green



\*Note: spectral signatures have been generalized

Los Angeles, NASA Landsat, 2020 red - green - blue

Snow

Reflectance

Reflectance





The Uintas, NASA Landsat, 2020 red -green - blue

#### Source:

https://kb.descarteslabs.com/knowledge/i ntroduction-to-remote-sensing

Satellites can observe objects using different frequency bands all at once. You can choose different combinations of bands – color compositions to emphasize specific features.

### **Spectral resolution vs. spectral curve**

**Central Valley of California in different spectral resolutions** 



ESA Sentinel 2: red-green-blue bands

ESA Sentinel 2: nir-red-green bands

ESA Sentinel 2: swir2-swir1-nir bands

- The first image shows red, green, and blue bands; this is the visible range and appears the same as what it would look like out the window of an airplane.
- The middle image is looking at near infrared, red, and green bands, where we see all the vegetation popping out strongly as a red color, since near infrared radiation is being reflected very strongly.
- The final image displays the two short wave infrared bands and near infrared. Agricultural fields emitting very strongly in the infrared bands, and are seeing more detail in the soil properties in yellow and brown.

Source: https://blog.descarteslabs.com/a-look-into-the-fundamentals-of-remote-sensing

- The size of the smallest object that can be detected by a satellite – pixel size
- Pixel: smallest unit of an image
- Instantaneous field-of-view (IFOV)
- Distance on the ground that corresponds to one side of one pixel in image



Spatial Resolution: Comparison

Source: Jensen 2000





**Triple Sat Constellation** 80 cm spatial resolution Landsat-8 image 15 m spatial resolution

Source: https://skywatch.com/what-resolution-do-i-need-when-using-satellite-earth-observation-data/



#### Main scales

- < 1 meter = Very high resolution: fine details in urban context, roofs, cars, small boats ... Ikonos, Pleiades, QuickView
- 1 m < ... < 5 m = High resolution: urban structures, houses, streets, individual trees, railway & road networks ... SPOT 5
- 5 m < ... < 30 m = Middle resolution: fine landcover, coarse urban structure: dense urban, residential or commercial areas, ... Landsat, Spot 1-3
- > 30 m = low resolution: global landcover

Here is how the Wimbledon Tennis Complex (London, UK) appears at different resolutions associated with several of the satellites highlighted. All the images below are generated from a Worldview-4 image and resampled to be representative of the different spatial resolutions represented.





30m Resolution



Sentinel-2

10m Resolution

Aqua (MODIS) 250m Resolution



PlanetScope (Dove)

**3m Resolution** 



Pleiades 0.5m Resolution

Worldview-4 0.3m Resolution

#### Spatial Resolution: Sensor Comparison

Source: https://www.agridico.com/l/radiantearthinsight/



Image from the Sentinel 2A satellite, display in bands B-3 (10m), B-8a (20m), B-9 (60m) and in the true color composition B-4-3-2 (10m) and false color composition B-8A-4-3

Source: Institute of geography, PF UPJŠ, Košice

Sentinel-2 MSI		Landsat-8 OLI		SPOT-5 HRG		
Band [m]	Range [nm]	Band [m]	Range [nm]	Band [m]	Range [nm]	Name
B1 * (60)	$443\pm10$	B1 (30)	$440\pm10$			Aerosol
B2 (10)	$490\pm32.5$	B2 (30)	$480\pm30$			Blue
B3 (10)	$560 \pm 17.5$	B3 (30)	$560\pm 30$	B1 (10)	$545\pm45$	Green
B4 (10)	$665\pm15$	B4 (30)	$650\pm20$	B2 (10)	$645\pm35$	Red
		B8 * (15)	$590\pm45$	PAN (5)	$595\pm115$	PAN
B5 (20)	$705\pm7.5$					Red-edge 1
B6 (20)	$740\pm7.5$					Red-edge 2
B7 (20)	$783\pm10$					Red-edge 3
B8 (10)	$842\pm57.5$			B3 (10)	$835\pm55$	NIR <sub>wide</sub>
B8A (20)	$865\pm10$	B5 (30)	$865\pm15$			NIR <sub>narrow</sub>
B9 * (60)	$945\pm10$					Cirrus
B10 * (60)	$1375 \pm 15$	B9 (30)	$1370\pm10$			Water Vapor
B11 (20)	$1610\pm45$	B6 (30)	$1610\pm40$	B4 (20)	$1665\pm85$	SWIR 1
B12 (20)	$2190\pm90$	B7 (30)	$2200\pm90$			SWIR 2
		B10 * (100)	$10,895\pm295$			Thermal 1
		B11 * (100)	$12,005 \pm 505$			Thermal 2

Source: https://www.semanticscholar.org/paper/Sentinel-2's-Potential-for-Sub-Pixel-Landscape-Radoux-Chom%C3%A9/a9d3e039e7645eb3c1e1586077fae34e7bbea396/figure/1



The images show an agricultural area in southern Germany in late May 2017. The Copernicus Sentinel-2 image (left) was acquired at 20 m spatial resolution. This allows agricultural parcels and other landscape features such as roads to be distinguished. The Copernicus Sentinel-3 image (centre) captures the land-surface temperature, which is essential for estimating evapotranspiration, but here with a pixel size of around 1 km. By using advanced machine-learning algorithms, data from the two sensors can be fused, thus obtaining a 20 m representation of land-surface temperature (right) which can then be used to produce 20 m evapotranspiration maps.

#### **Radiometric resolution**

- The sensitivity of detectors to small differences in electromagnetic energy
- Measured in bits (a number to the exponential power of 2)
- The higher the number, the finer the radiometric resolution

Number of bits	Range of quantisation levels	1-bit data = 2 <sup>1</sup> divisions 2 levels of brightness
1	0-1	
2	0-3	2-bit data = 2 <sup>2</sup> divisions
3	0-7	4 levels of brightness
4	0-15	4-bit data = 2 <sup>4</sup> divisions
5	0-31	16 levels of brightness
6	0-63	
7	0-127	8-bit data = 2° divisions 256 levels of brightness
8	0-255	
9	0-511	12-bit data = 2 <sup>12</sup> divisions 4096 levels of brightness
10	0-1023	

Source: http://jukebox.esc13.net/untdeveloper/RM/Stats\_Module\_4/mobile\_pages/Stats\_Module\_410.html, https://www.bu.edu/earth/faqs-rsgs/

#### **Radiometric resolution**



Source: https://landsat.visibleearth.nasa.gov/view.php?id=91071

2-bit (4 values)

4-bit (16 values)

8-bit (up to 256 values)

### **Temporal resolution**

• Refers to the frequency at sensor collects imagery over a specific area

Depends on:

- Orbital characteristics
- Image swath width / footprint
- Off-nadir viewing capabilities (i.e., pointable optics)
- Number of satellites in the family



Temporal resolution varies by satellite and describes the time it takes for an individual satellite to orbit and revisit a specific area. Some satellites operate as a constellation with multiple satellites working together to increase their global coverage daily.



### **Temporal resolution**

**Central Valley of California in different temporal resolutions** 



 Temporal resolution refers to how often images are collected for any given location on earth. For Sentinel-2 imagery, we're getting about six images per month, whereas for MODIS we're getting an image every day. However, there is tradeoff: the coarser the spatial resolution, the higher the temporal resolution, and vice versa. If we're getting very fine spatial resolution data, we're not getting it as often.

#### **Temporal resolution**



- The Copernicus Sentinel-2 satellite pair captured the start of a fire on the Greek island of Kythira on 4 August 2017. Five days later, a huge burn scar is visible across the western part of the island.
- Carrying a high-resolution multispectral optical imager, Sentinel-2 is used to monitor changes in vegetation (in this 'false-colour' image, vegetation is in red). The mission offers key information to optimise crop yield, thereby helping to improve food security. It can be used to measure leaf area, leaf chlorophyll and leaf water content to monitor plant growth, which is particularly important during the growing season.

Source: ESA

#### **Sensor Characteristics**

Sensor	Number of Bands	Spatial Resolution	Temporal Resolution	Radiometric Resolution	Swath width/Footprint
MODIS	36	250 m, 500 m, 1000 m	*1 day	4096	2330 km swath width
Sentinel-2	13/1	10 m, 20 m, 60 m	*5 days	4096	290 km swath width
Landsat 5 & 7/Pan	7/1	30 m/15 m	*8 days	256	34,225 km <sup>2</sup> footprint
Landsat 8/Pan	10/1	30 m/15 m	16 days	4096	34,225 km <sup>2</sup> footprint
SPOT 5/Pan	3/1	20 m/10 m	*2-3 days	256/256	3600 km <sup>2</sup> footprint
IKONOS/Pan	4/1	4 m/1 m	~3 days	2048/2048	11.3 km swath width
QuickBird/Pan	4/1	2.4 m/0.6 m	2-11 days	2048/2048	16.4 km swath width

#### **Resolution Tradeoffs**

Though a finer temporal resolution is more desirable, there is often a tradeoff between a fine temporal resolution and a fine spatial resolution.



Source: https://kb.descarteslabs.com/knowled ge/introduction-to-remote-sensing



Source: https://reformingretail.com/index.php/2020/01/07/payfac-vs-iso-when-does-one-make-sense-over-the-other/

#### **Resolution Tradeoffs**



# Comparison of temporal, spectral and spatial resolution of satellites

Source:

https://www.researchgate.net/publication/308514016\_Advance s\_in\_remote\_sensing\_applications\_for\_urban\_sustainability/fig ures?lo=1&utm\_source=google&utm\_medium=organic

For more information, see the tutorial: 4. Optical remote sensing using ESA Copernicus' data: image metadata, image resolution (spect ral, spatial, temporal and radiomet ric resolution), color compositions and spect ral indices, using SNAP software







# 5. Basics of Radar Remote Sensing- principles and applications



Source: https://www.researchgate.net/publication/349395724\_Spacebome\_SAR\_Remote\_Sensing\_for\_Monitoring\_of\_Vegetation\_Dynamics\_in\_Arid\_and\_Semi-arid\_Environment/figures?lo=1&utm\_source=google&utm\_medium=organic/ Jensen (2008)



Microwave  $\Rightarrow$  penetrates into/through objects

Basic characteristics of radar systems/SAR sensors





Source: https://resources.pcb.cadence.com/blog/2023-using-the-x-band-and-ka-band-frequencies-for-radar-applications, https://apogeospatial.com/a-killer-app-for-sats/, https://arxiv.org/abs/1011.4911,

#### RADAR band designations, wavelenghts and frequencies

• The penetration depth is depending on wavelength and dielectric characteristics of objects



#### RADAR band designations, wavelenghts and frequencies

• The penetration depth is depending on wavelength and dielectric characteristics of objects





#### SAR IMAGING, POLARIMETRY

- SAR (Synthetic Aperture Radar) polarimetry is a technique that uses the polarization properties of radio waves for Earth observation. In the context of forestry, polarimetry has several significant applications. Here are some ways SAR polarimetry is employed in forestry:
- Vegetation Characterization:
  - Different types of vegetation have distinct backscatter characteristics depending on the signal's polarization.
- Forest Structure:
  - Polarimetric data can reveal information about tree heights, tree trunk thickness, or forest age. For instance, vertical polarization is often more strongly reflected by taller trees compared to shorter ones.
- Biomass Estimation:
  - Biomass is a key indicator of forest health and its carbon storage capability. Through polarimetry, the amount of biomass in a forest can be estimated.
- Change Detection:
  - By comparing polarimetric images from different time periods, areas of deforestation, forest damage, or regeneration can be identified.
- Soil Moisture Determination:
  - Beneath vegetation, there might be backscatter related to soil moisture. Polarimetry can help isolate these signals from the vegetation backscatter, allowing for a more accurate estimate of soil moisture.
- Forest Damage Identification:
  - Whether due to pests, diseases, natural disasters, or human activities, polarimetry can help pinpoint areas where the forest is damaged or stressed.
- Thus, SAR polarimetry offers a comprehensive view of forest ecosystems and their dynamics. With this technology, scientists, foresters, and natural resource managers can better understand the state of forests, monitor changes over time, and make informed decisions about the management and protection of these vital ecosystems.



Source: https://nisar.jpl.nasa.gov/mission/get-to-know-sar/polarimetry/

- Radar altimetry
- Radar imaging
  - SLAR side look-angle radar
  - INSAR interferonmetric synthetic aperture radar
    - D-insar
    - PS-insar

### Radar Altimetry = measuring altitude / vertical height



**ESA** article

video video 2

### Radar Altimetry = measuring altitude / vertical height



Copernicus Sentinel-3 provides new measurements of Antarctic Ice Sheet 08 March 2019

#### Side looking radar (SLAR)



#### Synthetic aperture radar (SAR)

Smer letu

h



h - flight altitude, L - antenna length,  $\beta$  - angle between the horizontal plane and the transmitted beam

The spatial resolution is independent of the distance from the antenna in the flight direction. Therefore, it remains constant in the flight direction, while it depends on the viewing angle in the direction perpendicular to the flight.

#### **Geometric Effects in SAR images**

#### Effects of side-looking geometry

 $\rightarrow$  Side looking geometry of SAR systems cause some typical geometric effects

- The effects are:
  - Foreshortening
  - ✤ Layover
  - Radar shadow
- Controlled by:
  - Incidence angle
  - Topography



Geometric distortions in radar images (Braun 2019)

## **Geometric Effects in SAR images**

#### Foreshortening



Layover

**Radar shadow** 

- Slopes oriented to the SAR appear compressed (Distance between a and b is shortened)
- Appears as very bright area
- More pronounced in near range (small incidence angle) than in far range (high incidence angles)

• Steep slopes oriented to the SAR lead to ghost images

• When radar beam reaches the top of a high feature (b) before it reaches the base (a)

Steep slopes oriented away from the SAR return no signal

- No signals can be transmitted to this area (as it is blocked by the slope), thus no signals can be scattered back from these areas
- Appears as black area in the image

#### azimuth



#### **Geometric Effects in SAR images**

#### Effects of side-looking geometry



Andreas R. Brenner and Ludwig Roessing, Radar Imaging of Urban Areas by Means of Very High-Resolution SAR and Interferometric SAR, IEEE TRANSACTIONS ON GEOSCIENCE AND REMOTE SENSING, VOL. 46, NO. 10, OCTOBER 2008 (X-band)

Google maps

### Radar side looking imaging geometry


### Radar side looking imaging geometry



#### The principle of extending the antenna

The key factor that is utilized in SAR is to synthesize a much longer antenna in azimuth direction by making use of the motion of the SAR sensor in order to achieve finer resolution.



#### **Determining elevation**



#### **Determining elevation**



A complex SAR image can be decomposed into ...



Interferometric phase Bachu, China approx. 100 km × 80 km







Phase is always ambiguous w.r.t. integer multiples of  $2\pi \rightarrow$  phase unwrapping required!

**Final DEM** 





#### Image analysis methods

#### Radargrametry



# The principle of measuring the parallax of point P using SAR stereo images.

#### **Persistent scatter SAR interferometry - PSInSAR**



#### **Persistent scatter SAR interferometry - PSInSAR**

- Measurement of movement in points intensely reflecting radar signal, thus not using all pixels of the radar record as in D-InSAR, many of which have unstable coherence of reflected radiation over time (reflectivity over time changes) due to changes in moisture, vegetation growth.
- Such objects, well and stably reflecting microwaves, occur naturally rock outcrops, cliffs, or artificially roofs, buildings, building corners, antennas, pipelines.
- The method determines the change in wave phase due to slight movement of the signal reflector.
- This way, surface deformation/movement of objects on it can be determined with millimeter precision.
- Compared to GNSS measurements, the advantage of PSInSAR is the ability to monitor a large number of points over a larger area and lower cost.

#### **Examples of Spaceborne Radar sensors**



#### **Examples of Spaceborne Radar sensors**

Satellite	Owner	Band	Resolution	Look Angle	Swath	Lifetime	
ERS-1	ESA	С	25 m	23°	100 km	1991-2000	
ERS-2	ESA	С	25 m	23°	100 km	1995-2012	
Radarsat-1	Canada	С	10 m - 100 m	20°- 59°	50 - 500 km	1995-2013	
ENVISAT	ESA	С	25 m - 1 km	15°- 40°	100 - 400 km	2002-2012	
ALOS	Japan	L	10 m -100 m	35°- 41°	70 - 360 km	2006-2011	
Cosmo	Italy	Х	ca. 1 m - 16 m			2007-	
TerraSAR-X	Germany	Х	1 m - 16 m	15°- 60°	10 - 100 km	2007/2010-	
& TanDEM-X	,						
Radarsat-2	Canada	С	3 m - 100 m	15°- 59°	10 - 500 km	2007-	
ALOS-2	Japan	L	3 m – 100 m	8°-70°	25 – 350 km	2014-	
Sentinel-1	ESA	С	5 m – 50 m	20°-46°	20 - 400 km	2014-	

### Sentinel-1 – Radar vision

Mission profile:

- C-Band SAR mission at 5.4 GHz
- Multi-polarisation
- Sun synchronous orbit at 693 km mean alt.
- 6 days repeat cycle at Equator with 2 satellites
- 4 operation modes

#### Mission objectives:

- Marime and land monitoring
- Emergency management





## **Sentinel-1 – Applications**



## **Sentinel-1 – Applications**



-20 cm/yr

+20 cm/yr

## **Sentinel-1 – Applications**



For more information, see the tutorial: 5. Basics of Radar Remote Sensing - data processing, using SNAP software







6. Precision agriculture mapping using multispectral data



Spatial + spectral + temporal information content + Machine learning for classification

#### Resolutions offered by popular satellite imagery providers

Satellite Service	Type	Resolution						
Provider	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Spatial	Spectral	Temporal				
Landsat (NASA)	Public	15m, 30m, 60m, 100m, 120m	Natural colour (Visible, NIR), Coastal aerosol, SWIR 1/2, Panchromatic, Cirrus, TIRS 1/2 <sup>63</sup>	16-18 days				
Sentinel (ESA)	Public	5m, 10m, 20m, 60m	C-band, Natural colour (Visible, NIR, SWIR)	1-5 days				
Planet	Private	0.72m, 3m, 4.77m, 6.5m	Natural colour: Blue, Green, Red, Red-Edge, NIR	12 hours - 5 days				
Maxar	Private	0.3m, 0.4m, 0.5cm, 0.6m, 1.2m, 2.0m	Panchromatic, 8 NIR bands (RGB, near-IR1/2, coast, yellow, red-edge), 8 SWIR bands, 12 CAVIS bands (for clouds, ice, and snow)	1-2 days				

#### **Spatial resolution**



#### Spectral indices - to extract specific signal from spectral signature

- Particular wavelengths are sensitive to particular chemicals and compounds
- Indices take advantage of these wavelength features



#### **Vegetation indices:**

- VI Vegetation Index
- NDVI Normalized Difference
  Vegetation Index
- EVI Enhanced Vegetation Index
- SAVI Soil Adjusted NDVI
- AVI Advanced Vegetation Index
- NDMI Normalized Difference Moisture Index



Chlorophyll predominately absorbs blue wavelengths (400-500 nm) and red (600-700 nm). Note that carotenoids absorb blue light as well as some green.

- Chlorophyll in the leaf preferentially absorbs blue and red light
- Green light is reflected (that's why healthy vegetation with lots of chlorophyll is green to our eyes)
- A healthy leaf cellular structure strongly reflects nearinfrared light (to prevent cell demage)





Reflectance [%]

#### **RED EDGE**

- The three 20m 'red edge' bands of Sentinel-2 provide key information on the state of vegetation
- Very narrow band (700 730 nm) corresponding to the red NIR transition zone
- Very sensitive to plant stress provides information on the canopy chlorophyll and nitrogen content



#### NORMALIZED DIFFERENCE VEGETATION INDEX (NDVI)

- Very popular in eco/geosciences
- Output values between -1.0 and 1.0
- Very low values (0.1 and below) = barren areas of rock, sand, snow
- Moderate values (0.2 to 0.3) = shrub and grassland
- High values (0.6 to 0.8) = temperate and tropical rainforests







#### NORMALIZED DIFFERENCE WATER INDEX (NDWI)

- Highly correlated with canopy water content and more closely tracked changes in plant biomass than the NDVI
- Used to monitor changes related to water content in water/plant bodies
- As water bodies strongly absorb light in visible to infrared electromagnetic spectrum, NDWI uses green and near infrared bands to highlight water bodies
- Index values greater than 0.5 usually correspond to water bodies. Vegetation usually corresponds to much smaller values and built-up areas to values between 0 - 0.2



NDWI of Italy. Acquired on 2020-08-01.



#### NORMALIZED DIFFERENCE WATER INDEX (NDWI)



#### **Biophysical variables estimation for agriculture applications**

Biophysical variables are plant traits or characteristics of interest which can be measured on the ground and possibly estimate by remote sensing at various scales depending of the sensor spatial resolution

Crop processes	8	1 12	ANA H	OVER	isedo (	Horophy	ateron	Ser S	h brientress	pre	
Photosynthesis	+++	+++			+++		++				
Evapotranspiration	++	+++	+++	++		++			+++		
Respiration	++										AND DESCRIPTION OF THE OWNER OF
Nitrogen	+++				+++						The second second
Phenology	+++	++	++								
Lodging										-	-
Impact of pests	+++				1						
Soil permanent charac.								+++			
Residues											4

#### **Fraction of green Vegetation Cover – FCover**

- Corresponds to the fraction of ground covered by green vegetation
- Quantifies the spatial extent of the vegetation
- Independent of the geometry of illumination (unlike FAPAR)



The image on the left is a true-colour (red, green, blue) Sentinel-2 reflectance image. The darker areas are woodlands. The image on the right shows the same imagery, transformed using a model originally developed for Landsat which estimates fractional vegetation cover from the reflectance values. On each pixel, the proportions of red, green and blue represent the proportion of bare ground, live vegetation and dead vegetation respectively.

#### **Fraction of Absorbed Photosynthetically Active Radiation - FAPAR**

- Quantifies the fraction of the solar radiation absorbed by live leaves for the photosynthesis activity.
- It refers only to the green and alive elements of the canopy
- Depends on the canopy structure, vegetation element optical properties, atmospheric conditions, and angular configuration



Fig. 7. The S2 fAPAR products at (a) Graswang, (b) Peace River and (c) Santa Rosa for several dates, representing early, peak and end of vegetation periods.

#### Canopy Chlorophyll Content (CCC)

The total amount of chlorophyll a and b pigments in a contiguous group of plants per unit ground area (in g/m<sup>2</sup>)

- Closely related to the plant nitrogen content (fertilization)
- Absorption at 675 nm very sensitive to changes in chlorophyll content but only for low CCC values
- Lower chlorophyll absorption at 550 nm, sensitive to a greater range of CCC, not easily saturated but less sensitive to chlorophyll changes



Figure 1 Winter wheat Crown Chlorophyll Content and sample sites in Yucheng, Shandong Province

#### LEAF AREA INDEX (LAI)

LAI is a dimensionless index measuring the one-sided green leaf area over a unit of land (m^2 / m^2).



Leaf Area Index (LAI)



Data from the Copernicus Sentinel-2 mission can be used to measure the 'leaf area index' of vegetation (left). This information can, in turn, be used to monitor crop growth and agricultural practices like harvesting. The animation shows the development of crop fields in Belgium between March and October 2016.

750 m





#### Phenology and disturbances



#### **Forest Disturbance Mapping**



**Reconstructed Forest Disturbance Date** 



Disturbed Area aggregated at Municipality Level



#### Sentinel-based markers for CAP Monitoring

https://dataspace.copernicus.eu/news/2023-10-19-cap-monitoring-national-scale-slovenia-based-copernicus-data



# Other sensors and platforms

### **Unmanned Aerial Vehicles (UAVs)**

- The payload capacity of UAVs has increased substantially, allowing them to carry a variety of payloads (e.g., sensors, cameras, spray equipment) for various precision agriculture applications
- Drones allow much higher resolutions than satellites in remote sensing. In addition, they can also be used for the precise application of pesticides and herbicides.


### Various Drone Payloads and Their Applications<sup>69</sup>



### RGB Camera

Only able to capture the wavelengths of the visible spectrum.

- Monitoring plants outer defects, greenness and growth
- Calculating a range of vegetation indices
- Creating high-resolution digital elevation models (DEMs)
- Mapping vegetation height

Lidar (Ligh

### Lidar (Light Detection and Ranging)

Uses laser beams to create a 3D representation of the surveyed environment

Creating high-resolution digital surface models of terrain and elevation
Measuring canopy heights, coverage, tree density, location and height of individual trees



• Finding the physical location of the UAV



0

### Multispectral Camera

Able to capture wavelengths beyond the visible spectral range, usually through 3-15 bands.<sup>70</sup>

Hyperspectral Camera

· Identifying plant biochemical composition

may not be detected)71

Quantifying soil vegetationCalculating chemical attributes

- · Monitoring and mapping crop diseases and weeds
- Estimating the vegetation state
- · Detecting nutrient deficiency
- Mapping vegetation height

#### Chemical Sensors

 Measuring and detecting quantities of various chemical agents



## Biological Sensors Identifying various forms of microorganisms



### Meteorological Sensors

 Measuring weather-related indicators such as wind speed, temperature and humidity



#### Thermal Camera

Has more and narrower spectral bands compared to multispectral (They are most suitable when there is a need to identify subtle differences in signal along a continuous spectrum. Since multispectral cameras sample larger wavebands, these small signals

· Distinguishing different plant species with similar spectral signatures

Infrared radiation to form a heat zone image, operating at wavelengths of ~14,000 nm

- Evaluating water stress and assessing irrigation uniformity
- · Calculating vegetation indices
- · Calculating chemical attributes



### Spraying System or Similarpayloads

• System consisting of pumps and sprinklers for spraying chemical inputs

# **On-site sensors**

On-site sensors measure field and crop characteristics with high accuracy, which growers can use to make farming decisions. Sensors are used in pest monitoring, soil monitoring, smart irrigation, yield monitoring, weather monitoring, and precision planting and spraying applications. The most commonly used sensors are listed in the table below.

Table 4: Types of on-site sensors for precision agriculture

Group	Sensors
Soil	Moisture, temperature, nitrogen, phosphorous, potassium, carbon, pH
Plants	NDVI, chlorophyll, plant health, plant water demands, sugar content
Atmospheric	Temperature, humidity, wind speed, rainfall, pressure, precipitation
Water	pH, temperature, turbidity, water depth, conductivity, dissolved $O_2$

For more information, see the tutorial:

<u>6. Precision agriculture mapping – digital image analyses using Sentinel-2 multispectral data, image classification, comparison with UAV multispectral data, using SNAP software</u>







# 7. Spatio-temporal mapping of deforestation using multispectral data



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750 m



Deforestation

## Deforestation

# Rondônia, Brazil 2010 24 years of deforestation





Sentinel-2 moisture index before (left) and during (right) the drought, showing the desiccation of the Red Hills Reservoir over the heat period in 2019 with a clearly retreating water line.

## **Emergency Management Service: Wildfires**



## **Emergency Management Service: Wildfires**



## **Information for Forest Management**



Mapping of harmful broadleaved shrubs in forest regeneration areas based on Sentinel-2 data



• Stem volume per species

Red = Broadleaved

Blue = Pine

Green = Spruce

## **Information for Forest Management**

## **Detecting bark beetle damage**



Bark beetle spots identified in the north-eastern area of the Chuprene Reserve.

Analysis determined from 2011 and 2015 airborne images; 2017 UAV images and 2020 satellite images

For more information, see the tutorial:					
7. Spatio-t	emporal	mappin	<u>g of</u>		
deforestation	using	Sentinel-2	data,		
<u>comparison</u>	with	high-reso	<u>olution</u>		
orthophoto	imagery	<u>, using</u>	<u>SNAP</u>		
<u>software</u>					







8. Mapping wildfires and burn severity using multispectral data



## Introduction on the radiometry of forest fires



Healthy plant species reflect more energy in NIR but weakly in SWIR. This spectral characteristic is useful for detecting burned areas such as dead soil/plant material on forest floor. Source: US Forest Service.

## Remote sensing observations and forest fires



Remote sensing observations and forest fires stages

## **Vegetation density**

- Fire risk as varies with vegetation density VD.
   VD also influences fire dispersion.
- Classification of a forest in terms of VD depends strongly on spatial resolution





## **Vegetation type**

- Urban areas slow down forest fires, a fact which is important for fire modelling.
- Fuel behavior (ignition and dispesion) varies with vegetation type (VT).
- For instance, areas with olive trees slow down the fire. On the contrary, areas with pine trees (typical species for the Mediterranean biodiversity), ignite and disperse easier.



Brown: olive trees; Yellow: agricultural cultivations; Green: conifers; light green: Shrubs

## **Vegetation stage - Land Surface Phenology**

Unhealthy vegetation has a higher percentage of dead leaves, providing easier to burn fuel for fires

Satellites can be used to track seasonal patterns of variation in vegetated land surfaces through indices:

- NDVI Normalized Difference Vegetation Index
- EVI Enhanced Vegetation Index
- SAVI Soil-Adjusted Vegetation Index
- Vegetation index anomalies



### 1/ NDVI is widely used as a metric for vegetation health

- Values range from -1.0 to 1.0
- Negative values to 0 mean no green leaves.
- Values close to 1 indicate the highest possible density of green leaves.
- NDVI Formula: (NIR Red)/(NIR + Red)

$$EVI = G * \left(\frac{(NIR-R)}{(NIR+C1*R-C2*B+L)}\right) \qquad \begin{array}{c} Constants \\ G = 2.5 \\ C1 = 6 \\ C2 = 7.5 \\ L = 1 \end{array}$$

### 2/ Enhanced Vegetation Index (EVI)

More sensitive in areas with dense vegetation, making it better for fuels assessment in dense forests

## **Soil Moisture**

## **Vegetation-Based Fire Applications:**

- Vegetation Moisture: Soil moisture acts as a proxy for vegetation moisture and evaporative stress.
- Drought information can also identify areas with dry fuel.
- Soil moisture is measured by active microwave scatterometers such as ERS1&2/AMI and MetOp/ASCAT as well as by passive microwave radiometers such as Sentinel 1, Aqua/AMSR-E, Coriolis/WindSat...



Example of high resolution (1 km) soil moisture maps of the Iberian peninsula generated from SMOS data (10 days average)

https://directory.eoportal.org/web/eoportal/satellite-missions/s/smos

• Measures the moisture in the top 5 cm of the soil globally every 3 day

## Local meteorology (pyrocumulus)



Copernicus Sentinel-2 catches impressive smoke cloud This image acquired on 9 September 2020, by Copernicus Sentinel-2 features the impressive pyrocumulus cloud forming over the complex wildfire in California. This true-colour image is combined with short-infrared bands to highlight the location of the fire hot spots.

Contains modified Copernicus Sentinel data (2020)/processed by P. Markuse

## SAR in support of optical remote sensing for forest fires

Sentinel-1 SAR (C-band SAR data, 12-day revisit, Resolution: 5 x 20 meters)

- Vegetation-Based Fire Applications:
- Vegetation Type and Extent: Land classification, fuels mapping
- Vegetation Structure: Density and height
- Vegetation Moisture: Fuel moisture content and dryness

## Sentinel-2 Vegetation-Based Fire Applications (-day revisit, Resolution: 10 meters)

- Vegetation Extent and Type: Land cover classification
- Vegetation Stage and Health: Variety of vegetation indices, including NDVI, EVI, SAVI
- Vegetation Moisture: NDWI

## SAR in support of optical remote sensing for forest fires



Between 18 and 28 July 2023, wildfires broke out on Rhodes. Fierce blazes ravaged almost 18,000 hectares of land, destroyed buildings, trapped animals and led to a mass evacuation of thousands of tourists.

This Copernicus Sentinel-1 image shows the burn scars left by fires on the Greek island of Rhodes.

	Ignition	NO	-	
Fire Risk Mapping	Land cover	YES	VIS and SAR	
	Soil moisture and drought severity	YES	Microwaves	To provide reliable Fire Risk Mapping, spatial resolution needs to be high
	Vegetation type and stage	YES	VIS	
	Burning fuel	YES	VIS	
	Topography	YES	VIS and SAR	
	Meteorological parameters	LIMITED	VIS and TIR	
	Land surface temperature	YES	TIR	

As fires burn much hotter than the typical temperature of surfaces on the Earth, heat provides a strong signal for the detection of fire.

The total energy radiated from a surface increases rapidly with its temperature (proportional to the fourth power of temperature as described by the Stefan-Boltzmann law).

However, the radiance is not uniform across wavelength and the distribution peaks at a wavelength that varies inversely with the temperature.



At normal ambient temperature, the peak is in the range 8–12  $\mu$ m and most of the radiant energy lies at wavelengths greater than 5  $\mu$ m (left image).

At higher temperatures typical of forest fires, the peak of the response shifts to mid-wave infrared (MWIR,  $3-5 \mu m$ ) or shorter wavelengths (right image).

## **Detecting hotspots and Total area burning**



### Kythira wildfires

Southern Europe experienced a relentless heatwave this summer, fuelling wildfires in a number of countries. The Copernicus Sentinel-2 satellite pair captured the start of a fire on the Greek island of Kythira on 4 August. Five days later, a huge burn scar is visible across the western part of the island.

Source: modified Copernicus Sentinel data (2017), processed by ESA

## **Fire Radiative Power and Thermal Infrared**

Comparing window channels in near and thermal infrared

Near infrared (1.6 µm)	More adequate for smoke detection than 3.9 µm Small fires not visible No CO2 absorption (higher fire temperature) High sub pixel sensitivity
Middle infrared (3.9 µm)	High temperature sensitivity - major sub pixel effects (hotspots are easily detected) Negligible absorption by atmospheric humidity Close to a CO2 absorption band, 4-7 Kelvin signal reduction Brightness is temperature of the CO2 layer above the fire
Thermal infrared (10.8 µm)	1-2 Kelvin absorption by atmospheric humidity No signal reduction by CO2 Lower temperature sensitivity (small subpixel effects) No risk of sensor blinding by fires Low values compared with 3.9 µm due to semi transparent cloud or smoke

## **Temporal resolution – a critical parameter**

The majority of satellites providing earth imagery are either geostatic or in the near-polar sunsynchronous orbit and include multispectral imaging sensors.

Sun-synchronous satellites provide data with high spatial resolution but low temporal resolution while geostationary satellites have high temporal resolution but low spatial resolution.

Sensor/Satellite	Channels	Product	Spatial/Temporal
SEVIRI/Meteosat	3.9µm, 10.8µm	FIR (Active Fire Monitoring)	3 km/5 min
MODIS/Aqua and Terra	4µm, 11µm	Active Fire	1km/ 1-2 days per satellite
SLSTR/SENTINEL 3	3.7µm, 10.8µm	Active Fire	1km/approx. 1 day
SENTINEL 1	Radar	Burned area	5m/2 days at mid-latitudes
SENTINEL 2	Vis	Burned area	10 m/2-3 days at mid-latitudes
AVHRR/NOAA	3.7µm	FIMMA	1km/ 5-6 times per day
VIIRS/ Suomi-NPP	4µm, 11µm	Active fire	375m/ 3-4 times per day

## **Temporal resolution – a critical parameter**

Recently, advances in nanomaterials and micro-electronics technologies have allowed the use of tiny low-Earth-orbiting satellites, known as CubeSats.

CubeSats by launched in constellations succeed in improving considerably the temporal resolution while at the same time they reflect high spatial resolution (due to their low orbit).



# The post-fire stage

## Normalized Burn Ratio (NBR)

- Used to measure burn severity by distinguishing areas that have been significantly altered in their spectral signature after a wildfire event
- It is calculated using the energy intensity from the NIR and SWIR wavelength bands from the remotely sensed satellite imagery.
- Healthy vegetation has very high NIR reflectance and low reflectance in the SWIR portion of the spectrum
- Burned areas on the other hand have relatively low reflectance in the NIR and high reflectance in the SWIR band

 $NBR = \frac{(NIR - SWIR)}{(NIR + SWIR)} = \frac{(Band \ 8 - Band \ 12)}{(Band \ 8 + Band \ 12)}$
### The post-fire stage

### Burn Severity and the delta normalized burn ratio

- Burn severity degree to which an ecosystem is impacted by a wildfire event. It is estimated as the difference between pre-fire and post-fire NBR derived from satellite images.
- The difference between pre-fire and post-fire NBR (the delta normalized burn ratio (dNBR) index) frequently used to identify recently burned areas and differentiate them from other non-vegetated areas.
- Areas with high dNBR value correspond to a higher degree of damage or burn severity. In contrast, low dNBR values represent areas that are unaffected from the fire event or regions that have rebounded via regrowth of plant species following a wildfire incident.



### The post-fire stage

### Burned areas and burn severity – Spain and Portugal 2017





- (b) EFFIS dNBR image in Galicia wildfires;
- (c) Sentinel-2 dNBR image using Post-1 images in Portugal wildfires;
- (d) EFFIS dNBR image in Portugal wildfires. Water areas are masked (blue color).



#### Source:

Rafael Llorensa, José Antonio Sobrino, Cristina Fernández, José M.Fernández-Alonso, José Antonio Vega, A methodology to estimate forest fires burned areas and burn severity degrees using Sentinel-2 data. Application to the October 2017 fires in the Iberian Peninsula, International Journal of Applied Earth Observation and Geoinformation March Volume 95. 2021. 102243 https://doi.org/10.1016/j.jag.2020.102243

# Methodology and steps for estimating burned areas from forest fires

# Step 1: Mapping the area before and after the forest fire

### **Step 2. Image segmentation**



MODIS images prior and post a forest fire (RGB-124)

### **Step 3. Change detection**



# Step 4. Potential errors in the delineation of burned areas



### Final map of burned areas



# Two days later ... 26,000 m<sup>2</sup> of the forest were burned

Sources of information

# The European Forest Fire Information System (EFFIS)

- The European Commission has developed the European Forest Fire Information System (EFFIS)
  (http://effis.jrc.ec.europa.eu/) to provide a fire risk forecast and a fire danger assessment in EU countries.
- EFFIS is one of the Copernicus Emergency Services and becomes an essential tool for providing most up-to date information on fire danger in EU



### The Global Wildfire Information System (GWIS)



Joint initiative of the Group on Earth Observations (GEO), the NASA Applied Research and the EU Copernicus work programmes. Using advanced methods on data processing for wildfire detection and monitoring, numerical weather prediction models, and remote sensing, GWIS enables enhanced wildfire prevention, preparedness and effectiveness in wildfire management.

### Fire Information for Resource Management System (FIRMS)



NASA's FIRMS distributes Near Real-Time (NRT) active fire data within 3 hours of satellite observation from NASA's Moderate Resolution Imaging Spectroradiometer (MODIS) and NASA's Visible Infrared Imaging Radiometer Suite (VIIRS). Source: https://firms.modaps.eosdis.nasa.gov/



The map shows fires taking place across the globe between May 2016 and June 2023, using data from the World Fire Atlas. The atlas provides a detailed analysis of wildfires across the globe and utilised nighttime data from the Sea and Land Surface Temperature Radiometer (SLSTR) onboard the Sentinel-3A satellite. The data have been overlaid onto ESA's World Cover map which uses data from the Copernicus Sentinel-2 mission from 2021.

# Conclusions

- Optical and thermal infrared RS observations  $\rightarrow$  supportive for the pre-fire and post-fires stages
- Fire detection is technically feasible (in mid and thermal infrared; Sentinel 3, SEVIRI on Meteosat, Landsat TM), yet satellites with good temporal resolution have poor spatial one and vice versa → contribution to operational plans in the active fire stage is constrained
- Sentinel 2 and 3 facilitate research and operation applications with respect to forest fires. Results are complemented by Sentinel 1 SAR observations
- Low spatial resolution satellites/sensors (VIIRS, MODIS) used for pre-fire risk mapping; satellites of high spatial resolution - during the post-fire stage - may be used instead (Sentinel- 2, Landsat, Worldview, etc.)
- Cubesats reflect a promising development to improve both temporal and spatial resolution
- Several forest fire related applications have been developed in the framework of the EU, ESA, as well in other parts of the world.

For more information, see the tutorial: 8. Mapping wildfires and burn sever ity using Sentinel-2 data, using SNAP software







### 9. Air quality monitoring using Sentinel-5 data



### **Copernicus Services**

#### **Services monitoring Earth systems**



Land Monitoring





Atmosphere Monitoring



#### **Horizontal services**



**Emergency Management** 



Security



**Climate Change** 



## **Copernicus Requirements**

### Drivers for operational space-borne atmospheric composition observations:

- Facilitation and improvement of operational applications and services related to atmospheric composition
- Provision of information on treaty verification and protocol monitoring
- Contribution to scientific understanding for environmental assessments to support policies
- Support the Copernicus Atmospheric Core and Downstream services



# **The Sentinel Family**



Sentinel-1 (A/B/C/D) – SAR imaging All weather, day/night applications, interferometry



**Sentinel-2 (A/B/C/D) – Multi-spectral imaging** Land applications: urban, forest, agriculture,... Continuity of Landsat, SPOT



Sentinel-3 (A/B/C/D) – Ocean and global land monitoring Wide-swath ocean color, vegetation, sea/land surface temperature, altimetry



Sentinel-4 (A/B) – Geostationary atmospheric (on MTG) Atmospheric composition monitoring, trans-boundary pollution



Sentinel-5 Precursor/Sentinel-5 (A/B/C) – Low-orbit atmospheric (on MetOp-SG Series A) Atmospheric composition monitoring



Sentinel-6 [Jason-CS] (A/B) – Low inclination altimetry Sea-level, wave height and marine wind speed

## **Sentinel-5P and Sentinel-5**

#### **SENTINEL-5P** THE FORERUNNER TO THE SENTINEL-5



- Global observation of key atmospheric constituents, including ozone, nitrogen dioxide, sulphur dioxide and other environmental pollutants
- Improves climate models and weather forecasts
- Provides data continuously during five-year gap between the retirement of Envisat and the launch of Sentinel-5
- Airbus Defence and Space prime contractor for satellite and TROPOMI instrument



# **SENTINEL-5**



#### Measures air quality and solar radiation, monitors stratospheric ozone and the climate

- Global coverage of Earth's atmosphere with an unprecedented spatial resolution
- Airbus Defence and Space prime contractor for instrument



 Carried aboard EUMETSAT's MetOp Second Generation satellites



# **Sentinel-5 Precursor (S-5P)**

- The ESA S-5P is a pre-operational mission focussing on global observations of the atmospheric composition for air quality and climate
  - The TROPOspheric Monitoring Instrument (**TROPOMI**) is the payload of the S-5P mission and is jointly developed by The Netherlands and ESA
  - The launch date: 2016 with a 7 year design lifetime

#### TROPOMI

- ► UV-VIS-NIR-SWIR nadir view grating spectrometer.
- Spectral range: 270-500, 675-775, 2305-2385 nm
- Spectral Resolution: 0.25-1.1 nm
- Spatial Resolution: 7x7km2
- ► Global daily coverage at 13:30 local solar time.



#### Contribution to Copernicus

- Total column O<sub>3</sub>, NO<sub>2</sub>, CO, SO<sub>2</sub>, CH<sub>4</sub>, CH<sub>2</sub>O, H<sub>2</sub>O, BrO
- ► Tropospheric column O<sub>3</sub>, NO<sub>2</sub>
- ► O<sub>3</sub> profile
- ► Aerosol absorbing index & layer height

### **Sentinel-5P Tropomi instrument to map trace gases**



# **Sentinel-5P Tropomi instrument to map trace gases**

#### Level 2 Products and the L2 WG

<b>A</b>	Parameter	Data Product	Vertical Resolution	Accuracy	Precision
DLR	Ozone	Ozone Profile	6 km	10-30%	10%
		Total Ozone	total column	3.5-5%	1.6-2.5%
		Tropospheric Ozone	trop column		
SRON	NO <sub>2</sub>	Stratospheric NO <sub>2</sub>	strat column	<10%	0.5e15
		Tropospheric NO <sub>2</sub>	trop column	25-50%	0.7e15
	SO <sub>2</sub>	SO₂ enhanced	total column	30%	0.15-0.3 (0.06-0.12) DU
		Total SO <sub>2</sub>	total column	30-50%	1-3 (0.4-1.2) DU
MAX-PLANCK-INSTITUT FÜX CHEMIE	Formaldehyde 🏼 🕏	Total HCHO	total column	40-80%	1.2e16 (4e15)
	со 🔎	Total CO	total column	15%	<10%
	Methane 🧔	Total CH4	total column	1.5%	1%
	Cloud	Cloud Fraction	total column	<20%	0.05
		Albedo (Optical Thickness)	total column	<20%	0.05 (10)
		Cloud Height (Pressure)	total column	<20%	<0.5 km (<30hPa)
KAL Space 3		SNPP VIIRS Cloud data			
	Aerosol	Aerosol Layer Height	total column	<100hPa	<50hPa
		Aerosol Type	total column	~1 AAI	<0.1 AAI

Source: S5P Level 2 Working Group

All ATBDs (L1 and L2) will be made available to the science community



The Sentinel-5 (5-P) mission continues a series of spectrometers measuring atmospheric properties since 1995:

• The Global Ozone Monitoring Experiment (GOME) on ESA's ERS-2 - operated between 1995 and 2011

• GOME-2 on EUMETSAT's Met-OP-A satellite, launched in 2006, still in service; operating since 2012 on MetOp-B satellite

• SCanning Imaging Absorption spectroMeter for Atmospheric CartograpHY (SCIAMACHY) on ESA's ENVISAT mission which operated between 2002 and 2012

 Ozone Monitoring Instrument (OMI) since 2004 on NASA's AURA spacecraft, still in service



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## **Spectral Range Comparison**



SCIAMACHY measurements cover almost the entire solar irradiance spectrum from UV to SWIR (240 to 2 400 nm) whereas GOME(-2) and OMI are scaled down in terms of wavelength range covering the UV-VIS-NIR range (270-790 nm) and the UV-VIS range (270-500 nm) respectively.

## **Spatial Resolution Comparison**



Source: KNMI

Instrument	Spectral Range	Spatial resolution (km x km)	Swath (km)	Overpass time	Operational
GOME	UV-VIS-NIR (240-790 nm)	320 × 40	960	10:30 local time	1995-2011
GOME-2	UV-VIS-NIR (240-790 nm)	80 × 40	1920	9:30 local time	2006-present
SCIAMACHY	UV to SWIR (240-2400 nm)	30 × 215	1000	10:00 local time	2002-2012
OMI	UV-VIS (270- 500 nm)	13 ×24	2600	13:30 local time	2004-present
TROPOMI	UV-VIS-NIR- SWIR (270 – 2385 nm)	7 × 7	2600	13:30 local time	Launch scheduled for 2016
Sentinel-4	UV-VIS-NIR (305- 775 nm)	8 × 8	NA	Geostationary	Launch scheduled for 2021
Sentinel-5	UV-VIS-NIR- SWIR (270 – 2385 nm)	7 × 7	2670	9:30 local time	Launch scheduled for 2021

# S-5p and S-NPP Loose Formation Flight

- In particular Methane requires a very reliable cloud clearing of optically thin layers (e.g. cirrus)
- "Loose formation" with separation 5 min +/- 5 min
- Close cooperation between ESA and NOAA/NASA on technical level
- Tailored VIIRS cloud products for S5P
- Synergistic use SNPP & S-5p products improve the S5P only cloud information



### **Tracking Saharan dust plume**



This animation shows the spread of aerosols from the Saharan dust plume moving westward across the Atlantic Ocean from 1 June to 26 June 2020. This plume has reached the Caribbean, South America and the United States.

Source: contains modified Copernicus Sentinel data (2020), processed by ESA, <u>CC BY-SA 3.0 IGO</u>

### **Volcano eruption seen from space**



# **Sentinel-5P – Ozone Hole Monitoring**

https://www.esa.int/Applications/Observing\_the\_Earth/Copernicus/Sentinel-5P/Ozone\_hole\_goes\_large\_again



This animation uses Sentinel-5P total ozone measurements and shows the evolution of the ozone hole over the South Pole from 1 September to 29 September 2023.

Measurements from the Copernicus SentineI-5P satellite show that this year's ozone hole over the Antarctica is one of the biggest on record. The hole, which is what scientists call an 'ozone depleting area,' reached a size of 26 million sq km on 16 September 2023. This is roughly three times the size of Brazil.

Source: contains modified Copernicus Sentinel data (2023)/processed DLR

### **COVID-19 impact as 'seen' by Sentinel-5P**

#### Nitrogen dioxide concentrations over China



umol/m

These images, using data from the Copernicus SentineI-5P satellite, show the monthly average nitrogen dioxide concentrations over China in February 2019, 2020 and 2021. In early 2020, data from satellites were used to show a decline in air pollution over China coinciding with nationwide lockdowns put in place to stop the spread of COVID-19. One year later, nitrogen dioxide levels in China have risen back to pre-COVID levels according to new data from the SentineI-5P satellite.

## Sentinel-5P data map nitrogen dioxide in Finland



Urban Helsinki shows a drop in pollutants during weekends. This research showed that overall, levels of nitrogen dioxide during weekends were 30% lower than those observed during weekdays, and that spatial distribution was partially affected by systematic wind patterns. Copyright: ESA, lalongo et al

### NO2 emissions from oil refineries in the Mississippi Delta



Fig. 3. Petroleum Administration for Defense Districts 3 (PADD-3) population density by county.



Fig. 4. Spatial distribution of TROPOMI NO2 VCD (µmol/m<sup>2</sup>) during 2019–2022 over the US PADD-3 region. Red dots indicate the location of a refinery.



Fig. 5. Spatial distribution of TROPOMI NO<sub>2</sub> VCD during 2019–2022. Red dots indicate the location of a refinery, while yellow dots indicate the location of air quality monitoring stations. Background map from OpenStreetMap®.

This study uses TROPOMI data to observe the spatial patterns of NO2 pollution within the PADD-3 region of the lower Mississippi Delta, an area characterized by high industrial activity associated with oil refining and petrochemicals. The results show that TROPOMI captures small-scale spatial heterogeneities associated with industrial and other activities, such as emissions from oil refining and the chemical industry, as well as emissions from coal-fired power plants and vehicular traffic.

### **Methane Emission Source Detection by Sentinel-5P**



Methane enhancement over Libya

### **Methane Emission Source Detection by Sentinel-5P**



# **Copernicus Sentinel-5P Mapping Portal**



#### Copernicus Sentinel-5P Tropospheric Nitrogen Dioxide

Maps of tropospheric  $NO_2$  concentrations averaged over 14 days



For more information, see the tutorial: 9. Air quality monitoring using Sentinel-5 data – practicals, using SNAP software

## World's Air Pollution: Real-time Air Quality Index


#### World's Air Pollution: Real-time Air Quality Index

#### **Relative Size of Particulate Matter**



#### Why are PM particles harmful?

Airborne PM particles have an adverse effect on human health and the environment. Both PMPM2.5 and PM10 particles can be inhaled, while some settle in the respiratory system. PM2.5 gets deeper into the lungs (pulmonary alveoli), where it settles and enters the bloodstream. They can cause acute and chronic bronchitis or asthma.

#### ... and Contributing Missions



Sentinel-5 Precursor, ACC-11, ESA/ESRIN, 29 April 2015







10. Land surface temperature mapping /urban heat island mapping using ESA EO data

#### **Overview of Urban Heat Islands**

Urban Heat Island (UHI) refers to the phenomenon where urban areas experience higher temperatures compared to their surrounding rural areas due to human activities and built infrastructure such as buildings, roads, and concrete surfaces absorbing and retaining heat.



- Urban heat islands can emerge during both daytime and nighttime, regardless of the city's size or the season.
- While surface temperatures exhibit greater fluctuations than air temperatures during the day, they become more conspicuous post-sunset owing to the gradual dissipation of heat from impermeable surfaces.



Source: EPA

## Factors influencing the formation of the UHI

Main factors contributing to the formation of urban heat islands:

- anthropogenic heat emissions;
- reduction of urban vegetation;
- construction materials with low albedo;
- urban canyons trapping heat released from urban infrastructure;
- weather and geographic location



## Types of urban heat islands

Analysis of urban heat islands in the urban environment typically occurs in one of three urban layers:

- Surface Urban Heat Island (SUHI)measurement of infrared radiation reflected from surfaces.
- Canopy Layer Heat Island (CLHI) the layer of the atmosphere between the Earth's surface and the tops of building roofs or urban greenery where most human activities occur.
- **Boundary Layer Heat Island (BLHI)** the boundary layer above the urban canopy layer (up to 2 km above the Earth's surface).





## Surface UHI

- Represents the temperature contrast in radiation between impervious and natural surfaces
- Usually most pronounced during daylight hours
- Their magnitude fluctuates with seasons, peaking typically in summer.
- Remote sensing in the thermal infrared (TIR) region Of the electromagnetic spectrum the IS primary method for measuring SUHIs.



https://www.pavetechinc.com/uhi-mitigation/

# Atmospheric UHI

- Encompass phenomena occurring within the canopy layer or boundary layer.
- Canopy Layer Heat Island (CLHI) pertains to the atmospheric layer from the surface up to the tops of trees or buildings. CLHI is typically measured using in situ sensors installed on stationary meteorological stations or mobile traverses.
- Boundary Layer Heat Islands (BLHI) extend from the tops of trees or buildings to the point where urban landscapes cease to influence the atmosphere, roughly around 1.5 kilometers in height.
   BLHI is measured using tall towers, radiosondes, and aircraft.



Source: https://www.researchgate.net/publication/3231812 33\_Study\_and\_analysis\_of\_efficient\_green\_cover\_types\_fo r\_mitigating\_the\_air\_temperature\_and\_urban\_heat\_island\_ effect/figures?lo=1

### Types of urban heat islands

	Surface UHI	Atmospheric UHI
Time occurence	<ul> <li>Present throughout the entire day</li> <li>Most intense during daytime in summer, especially during anticyclonic weather</li> </ul>	<ul> <li>Insignificant/non-existent during the day</li> <li>Most intense during the night, before dawn, in winter, during anticyclonic weather</li> </ul>
Mean intensity	<ul> <li>Higher temperature, spatial, and temporal variability:</li> <li>Day: 10 – 15 °C</li> <li>Night: 5 – 10 °C</li> </ul>	<ul> <li>Lower temperature, spatial, and temporal variability:</li> <li>Day: -1 - 3 °C</li> <li>Night: 7 - 12 °C</li> </ul>
Identification method	<ul> <li>Indirect measurement:</li> <li>Remote sensing of Earth</li> <li>Manual thermal cameras</li> </ul>	<ul> <li>Direct measurement:</li> <li>Meteorological stations</li> <li>Temperature data loggers (dataloggers)</li> </ul>
Common representation	Ihermal image	<ul> <li>Isothermal maps, temperature tgraphs</li> </ul>

Source: U.S. EPA (2017), Akbari (2009)

- $\rightarrow$  Climate change at both local and global scales:
- Depletion of groundwater
- Increased precipitation intensity leading to floods
- Soil erosion
- Formation of urban heat canyons
- Elevated concentration of carbon dioxide
- More frequent occurrence of fog
- Intensification of smog presence during the winter
- Increased risk of heat-related mortality and morbidity
- Disruption of ecosystems



 $\rightarrow$  Worsening of residents' thermal comfort



#### Methods of urban heat island detection



Meteorological data obtained through mobile transects using vehicles were used to derive a physiologically equivalent temperature map (PET) for the city of Stuttgart (Ketterer & Matzarakis, 2016). Studies of the UHI are generally conducted using one of two approaches:

- Measurement of air temperature using networks of meteorological stations and mobile measurements along transects
- Measurement of surface temperature through aerial or satellite remote sensing.



Distribution of surface temperature within the city of Sacramento in California. Image in the visible spectrum (left) and infrared spectrum (right). Source: NASA (2016).

#### Remote Sensing of LST

- For Land Surface Temperature (LST) estimation, remote sensing typically utilizes wavelengths within the thermal infrared (TIR) spectrum
- Specifically, the wavelengths used for LST estimation usually fall within the range of approximately 8 to 14 µm that is particularly sensitive to thermal emissions from the Earth's surface and allows for accurate measurement of LST variations

#### **Electromagnetic spectrum**



Source: https://reliabilityweb.com/articles/entry/a-practical-guide-to-emissivityin-infrared-inspections Satellite TIR sensors measure the radiance emitted from the top of the atmosphere (TOA) by the Earth's surface and atmosphere. The TOA radiances are influenced by several factors:

- Surface emissivity: different surfaces have different emissivity values, which affect the amount of radiation emitted and detected by the satellite sensor
- Atmospheric attenuation: the presence of water vapor and aerosols in the atmosphere can absorb or scatter thermal infrared radiation, affecting the amount of radiation reaching the satellite sensor
- Sensor viewing angle: The angle at which a satellite sensor receives radiation from the Earth's surface also influences the observed radiance





- Atmospheric Window: Between 10-12 micrometers, the atmosphere exhibits minimal absorption of infrared (IR) radiation emitted by the land surface. Consequently, this spectral range is utilized for Land Surface Temperature (LST) derivation.
- Multiple polar orbiting and geostationary satellites are equipped with sensors that observe in one or more bands within this infrared (IR) spectral range.

Satellite	Sensor	Temporal Coverage
Landsat 4 Landsat 5 Landsat 7 Landsat 8	Thematic Mapper (TM) Enhanced Thematic Mapper (ETM+) Operational Land Imager (OLI) Thermal Infrared Sensor (TIRS)	07/1982 -12/1993 03/1984 - 01/2013 04/1999 - Present 02/2013 – Present
Terra Aqua	Advanced Spaceborne Thermal Emission and Reflection Radiometer(ASTER) & MODIS MODerate-resolution Imaging Spectroradiometer (MODIS)	12/1999 - Present 04/2002 - Present
ECOsystem Spaceborne Thermal Radiometer Experiment on Space Station (ECOSTRESS)	Prototype HyspIRI Thermal Infrared Radiometer (PHyTIR)	06/2018 - Present

Satellite	Sensor	Temporal Coverage
Suomi National Polar Partnership (NSPP) Joint Polar Satellite System-1 (NOAA 20)	Visible Infrared Imaging Radiometer Suite (VIIRS)	10/2011 - Present 11/2018 – Present
NOAA Operational Series Current: NOAA 15,18,19 ESA- Metop-A & B	Advance Very High-Resolution Radiometer (AVHRR)	1979 - Present
NOAA Geostationary Operational Environmental Satellites (GOES) Current: GOES-16 & GOES-17	Imager & Sounder Advance Baseline Imager (ABI)	1975 - Present
ESA - Sentinel 3A & 3B	Sea and Land Surface Temperature Radiometer (SLSTR)	02/2016 - Present 04/2018 - Present
ESA - Sentinel 2A & 2B	MultiSpectral Instrument (MSI)	07/2015 - Present 03/2017 - Present

## **Spectral bands for LST mapping**

Sensor	Spectral Bands (µm)	Spatial Resolution	Temporal Resolution	Sensor	Spectral Bands (µm)	Spatial Resolution	Temporal Resolution
TM ETM+ TIRS	10.40 - 12.50 10.40 - 12.50 10.60 - 11.19 11.50 - 12.51	120 m (30 m) 60 m (30 m) 100 m 100 m	16 days	VIIRS	10.26 - 11.26 11.54 - 12.49	750 m	12 hours
MODIS	10.78 - 11.28 11.77 - 12.27	1 km	12 hours	AVHRR	10.30 - 11.30 11.5 - 12.50	1 km & 4 km	
ASTER	10.25 - 10.95 10.95 - 11.65	90 m	12 hours	VISS R ABI	10.10 - 10.60 <b>10.80 - 11.60 11.80 -12.80</b> 13.0 - 13.6	2 km CONUS and Full Disk	minutes, hours, day/night
PHyTIR	8.28, 8.79, 9.06, 10.5, 12.05	60 m CONUS only	varies/ every few days	SLSTR	10.45 - 11.24 11.57 - 12.48	1 km	12 hours

#### Mission objectives:

- Ocean, inland sea, coastal zone colour measurements
- Sea surface temperature measurements
- Sea surface topography measurements

#### Mission profile:

- Operational mission in high-inclination, low Earth orbit
- Orbital cycle is 27 days
- Ocean and Land Colour Instrument (OLCI), Sea and Land Surface Temperature Radiometer (SLSTR), SAR Radar Altimeter (SRAL), MicroWave Radiometer (MWR) and Precise Orbit Determination (POD) instruments
- Full performance achieved with 2 satellites in orbit





## **Sentinel-3 – Applications**



## **Sentinel-3 – Applications**



#### **Sentinel-3 Mission**



•Ocean and Land Colour Instrument (OLCI) - 5 cameras, 8 bands (VIS) for open ocean (low res), 15 bands (only VIS) for coastal zones (high res). Spatial sampling:  $300m \rightarrow MERIS$  follow-on

•Sea and Land Surface Temperature (SLST) with 9 spectral bands, 0.5 (VIS, SWIR) to 1 km res (MWIR, TIR). Swath: 180rpm dual view scan, nadir & backwards  $\rightarrow$  ATSR follow-on

•Radar Altimeter package - SRAL Ku-C altimeter (LRM and SAR measurement modes), MWR, POD (with Laser Retro Reflector and DORIS)

### **OLCI** instrument

Channel	Central wavelength (nm)	Width (nm)
1	400	15
2	412.5	10
3	442.5	10
4	490	10
5	510	10
6	560	10
7	620	10
8	665	10
9	681.25	7.5
10	708.75	10
11	753.75	7.5
12	761.25	2.5
13	764.375	3.75
14	773.75	5
15	781.25	10
16	862.5	15
17	872.5	5
18	885	10
19	900	10
20	940	20
21	1020	40



### **SLST** instrument

#### Sea & Land Surface Temperature Radiometer

Dual-view (nadir & backward) required for aerosol corrections:

Nadir swath >74° (up to 1800 km) Dual view swath 49° ~ 750 km Nadir swath covering OLCI

#### 9 spectral bands:

3 Visible : 555 – 659 – 865 nm 3 SWIR : 1.38 – 1.61 – 2.25  $\mu m$ 3 TIR : 3.74 – 10.85 – 12  $\mu m$ 

One Vis/IR channel used for co-registration with OLCI



#### **OLCI and SLST spatial resolution**





Conical scanning imaging radiometer with dual view capability:

- Near-nadir view
- Inclined view with an OZA of  $55^{\circ} \pm 0.1^{\circ}$

9 Spectral Channels + 2 (option) for Active FIRE

#### Sentinel-3 – revisit capability



Orbit type	Repeating frozen SSO
Repeat cycle	27 days (14 + 7/27 orbits/day)
LTDN	🗅 10:00 hr
Average altitude	🗅 815 km
Inclination	□ 98.65 deg

#### **Optical missions:**

Short Revisit times for optical payload, even with 1 single satellite

		Revisit at Equator	Revisit for latitude >30°	Specification	
Ocean Colour	1 Satellite	< 3.8 days	< 2.8 days		
(Sun-glint free)	2 Satellite	< 1.9 days	< 1.4 days	< 2 days	
	1 Satellite	< 2.2 days	< 1.8 days		
	2 Satellite	< 1.1 day	< 0.9 day	< 2 days	
	1 Satellite	< 1.8 days	< 1.5 days		
SLST dual view	2 Satellite	< 0.9 day	< 0.8 day	< 4 days	

#### **Sentinel-3 Product Structure**

#### **Sentinel-3 Core Land Products :**

Sentinel-3 core products play a crucial role in monitoring and understanding Earth's oceans, land surfaces, and atmosphere, contributing to efforts to address global environmental challenges and ensure the sustainable management of natural resources.

For more information, see the tutorial: <u>10. Land surface temperature mapping using</u> <u>Sentinel-3 data using SNAP software</u>









# 11. Snow and ice cover mapping using ESA Sentinel-1 and Sentinel-2 data

## Why do we need information about snow and ice

Information on snow and ice is essential for several reasons:

- Climate Monitoring
- Water Resource
   Management
- Natural Hazard Assessment
- Ecosystem Monitoring
- Sea Level Rise



# Cryosphere

- The portion of Earth's surface where water is found in its (mostly) solid form, including snow, ice, glaciers, ice caps, ice sheets, frozen ground (permafrost), and sea ice
- It encompasses regions of both land and sea that experience freezing temperatures, and it plays a crucial role in Earth's climate system.
- The cryosphere interacts with other components of the Earth system, such as the atmosphere, oceans, and biosphere, through processes like melting, freezing, and ice-albedo feedback
- Changes in the cryosphere can have significant impacts on global climate patterns, sea level rise, freshwater availability, and ecosystems.



Source:https://discoveringthearctic.org.uk/introducin g-the-arctic/snow-water-ice-permafrost/cryosphere/

#### **Examples of snow patterns in different environments**









https://www.gadventures.com/trips/antarctica-classic-in-depth/XVAESX/, https://www.mergili.at/worldimages/ picture.php?/8968,, https://www.antarcticglaciers.org/glacial-geology/glacial-landsystems/glaciated-valleylandsystems/debris-covered-glacier-landsystems/

### Typical densities of snow and ice

Typical densities of snow and ice (kg/m³)	
New snow (immediately after falling in calm)	50-70
Damp new snow	100-200
Settled snow	200-300
Depth hoar	100-300
Wind packed snow	350-400
Firn	400-830
Very wet snow and firn	700-800
Glacier ice	830-917

Source: Paterson, W.S.B. 1994. The Physics of Glaciers.

#### **Remote Sensing of Snow and Ice**

Selected satellites used i.a. for cryospheric applications					
C-Band	Sentinel-1	Optical	Sentinel-2 MSI		
	Envisat ASAR		SPOT-5 – 7 HRV/NAOMI		
	ERS-1/-2		Terra ASTER		
	Radarsat-1/-2		Sentinel-3 SLSTR/OLCI		
X-Band	Cosmo-Skymed		Aqua/Terra MODIS		
			NPP VIIRS		



#### Radar for snow and ice

Sensor	Satellite	[GHz].	Resolution/Swath	Repeat
AMI	ERS-1,-2(1991-2011)	5.3 VV	25 m - 100 km	35d/1 d
SIR-C/X-SAR	Shuttle (1994)	1.2,5.3,9.6	25 m - 40 km	2 Campaigns
SIR-C/X-SAR	SRTM (Feb.2000)	5.3 & 9.6	50/100 m - 100/200 DEM	
Present				
SAR	Radarsat1(1995-)	5.3	10,30,100 m - 100-500 km	24 d
ASAR	Envisat (2002-12)	5.3	30,100,1000 - 100-400 km	35 d
PALSAR	ADEOS (2007-11)	1.2	15/100 m - 40-350 km	46 d
TerraSAR	TerraSAR-X(2007-)	9.6	1, 3,10 m - 10,30,100 km	11 d
TerraSAR2	TanDEM-X (2010-)	9.6	in Tandem with TerraSAR-X (InSA	R)
SAR	COSMO-SkyMed	9.6	1, 3,10 m - 10-100 km	16 d, 1d, 8d
SAR	Radarsat2 (2007-)	5.3	3, 10, 30 m,      □20 km 24 d	
SAR	Sentinel-1 (2013-)	5.3	10 m, 30 m 250, 400 km	12 d x 2 Sat.
Future				
SAR Constellation	Radarsat (2019 -)	5.3	3 m100m 30500 km	16 d x 3 Sat.

### **Radar back-scatter signal**

• Physical factors: dielectric constant of the surface materials (depends on the moisture content)

Geometric factors:

surface roughness, slopes, shape and orientation of the objects relative to the radar beam direction

- The types of landcover
- Sensor characteristics: Microwave frequency, polarisation and incident angle



#### **Microwave Penetration Depth in Dry Snow**

# Measured by microwave radiometry:

- Alpine snowpack (Mätzler, 1987)
  - Antarctic snow (Rott, 1993)
- Retrieved by inversion of satellite MW radiometry (SMMR) data, Antarctic

Dry snow: Attenuation dominated by scattering losses


#### **Backscattering from a Rough Surface**



### Factors for Backscattering of Snow (Ku to L-Band)

#### WET SNOW Dominant Scattering Mechanism: Surface Scattering

- Liquid water content dominant factor
- Surface roughness important
- Grain size
  small effect

#### DRY SEASONAL SNOW: Scattering in the Volume and/or at Lower Interface

- $\sigma^{\circ}$  of medium below snow *dominating for seasonal snow at f<10 GHz*
- Grain size important for f> 10 GHz
- Snow Mass (snow water → Little sensitivity of at X- to L-band; equivalent, SWE) Ku-band sensitive to SWE, but ambiguity with grain size

#### **REFROZEN SNOW (e.g. firn area on glaciers) Volume Scattering**

- Volume inhomogeneities (grains, grain clusters, ice lenses, ice pipes, ..)
- Internal interfaces between snow layers of different density

# **EO Concepts for SWE Monitoring**

Approach	Strengths	Weaknesses	
Passive MW 18.7 & 37 GHz 10.6 & 32 GHz	sensitive to SWE & melt; global daily coverage; independent of clouds/illumination; very long record	Coarse resolution, not suitable for mountains and forests, saturation at higher SWE	
Radar <sup>(Scat or SAR):</sup> Dual: Ku & Ka Single: Ku, Ka	sensitive to SWE & melt; high resolution; independent of clouds/illumination	algorithm maturity, coverage, SWE saturation, forests	
InSAR L- , C-Band	direct SWE sensitivity; high resolution avoids volume scattering issues	forests, complexity; requires advanced acquisition plan	
LIDAR	direct observation of snow depth; very high resolution, minor forests and topographic issues	SWE retrieval requires snow density; No Sensor	



Radar (Scat or SAR)

Sensitivity of backscatter to SWE depends on scattering albedo:

Dual F: Ku + Ka Single F: Ku, Ka



# **Glacier Motion by InSAR and Offset Tracking**



Objectives for mapping Ice Motion:

• Retrieving ice export by calving (Input/Output method for mass balance)

#### Interferometric measurement of displacement



Requires temporal stability of radar signal phase (coherence)

# Basic principle: Matching of image templates by cross correlation (along track and in range) in co-registered SAR images.

Possibilities for features to be tracked:

- 1. Amplitude correlation: Uses persistent features in backscattering amplitude images (e.g. crevasses, drainage features). Advantage: Coherence not required. Disadvantage: Lack of features in accumulation areas of glaciers (snow areas) prohibits application.
- 2. Speckle tracking: Uses coherent amplitude data (complex or magnitude). *Advantage*: Works also where no obvious amplitude features exist. No need coherence can be bridged.
- **3. Coherence tracking**: Uses templates in coherence images and looks for maximum value. Method and possibilities similar to method (2). *Typical achievable accuracy in displacement: 0.2 pixels in x and y. Errors depend on co-registration, type of features, quality of matching.*

# **Glacier Velocity Map**



Annual ice velocity maps of Greenland from Copernicus Sentinel-1 2014-17 and winter campaign 2017/18.

Source:

https://www.esa.int/ESA\_Multimedia/Images/ 2019/07/Ice\_velocity\_maps\_of\_Greenland

## **Ice Flow Map**



#### Antarctic Peninsula ice flow

#### Ice-flow velocity of the George VI Ice Shelf

Source: https://www.esa.int/ESA\_Multimedia/Images/2016/05/Antarctic\_Peninsula\_ice\_flow, https://www.esa.int/ESA\_Multimedia/Images/2022/10/Ice-flow\_velocity\_of\_the\_George\_VI\_Ice\_Shelf

# **Applications in Cryosphere: Radar Sensors**

- Snow Water Equivalent (SWE)
- Glacier topography and volume change
- Dynamics and mass balances of ice sheets
- Glacier motion
- 3D ice surface deformation
- River ice
- Sea ice



Source: https://www.nature.com/ articles/s41597-021-00939-2

# **Optical for snow and ice**

Incoming electromagnetic energy  $(\lambda)$  is affected by:

- Absorption  $(E_A(\lambda))$
- Scattering  $(E_{S}(\lambda))$
- Transmission  $(E_{T}(\lambda))$

Principle of energy conservation: (energy can only be transferred, but neither be created nor destroyed)

$$E_I(\lambda) = E_A(\lambda) + E_S(\lambda) + E_T(\lambda)$$

Optical sensors measure the amount of light receiving the satellite (= at-satellite radiance L), which is often converted to



#### Reflectance depends on

- Wavelength energy
- Atmospheric attenuation
- Geometry of the Surface
- Surface Materials



Specular reflector (mirror)



Nearly Specular reflector (water)



diffuse reflector (lambertian)



nearly diffuse reflector



Hot spot reflection

#### **Selected Optical Sensors for Snow and Glacier Monitoring**

100.000

Sensor	Satellite	Bands	Resolution
MSI	Sentinel-2	VIS, SWIR	10, 20, 60 m
OLCI, SLSTR	Sentinel-3	VIS, SWIR, TIR	300, 500, 1000 m
AVHRR	NOAA	VIS, SWIR, TIR	1 km
MODIS	TERRA, ACQUA	0.4 – 12 μm (36 Ch.)	250, 1000 m
ASTER	TERRA	VIS, SWIR, TIR, Stereo	15, 30, 90 m
ETM+	LANDSAT 5,7	VIS, SWIR, TIR	15, 30, 60 m
OLI - LDCM	LANDSAT 8	VIS, SWIR, TIR	15, 30, 100 m
HRV	SPOT5	VIS, SWIR	2.5, 5, 10 m
Dig-Camera	Ikonos	VIS, NIR (4 Kan.)	1, 4 m
Dig-Camera	QuickBird	VIS, NIR (4 Kan.)	0.7, 2.5 m
Dig-Camera	PLEIADES	VIS, NIR	0.5, 2.0 m

IR Bands: NIR  $0.7 - 1.2 \mu m$ ; SWIR  $0.7 - 2.3 \mu m$ ; TIR  $8 - 12 \mu m$ 

#### **Extinction Coefficient of pure ice and sea water**



(Perovich, 1996)

#### **Spectral Reflectivity of Snow: Grain Size**



Model Calculation by Wiscomb and Warren (1980)

#### **Angular Dependence of Snow Reflectivity**



Snow albedo dependence on solar zenith angle

# **Main Factors for Spectral Reflectance of Snow**

- Impurities (Soot, Dust, ...) main factor at visible wavelengths
- Grain size; important at  $\lambda > \sim 1 \ \mu m$
- Liquid water content (relevant in shortwave IR; primarily an indirect effect through grain size)
- Illumination and observation geometry (bidirectional reflectance)
- Surface roughness



Impurities of the

ice surface after snow-melt

snowpack are deposited on the

Impurities lower the

albedo of ice and

snow

https://www.cambridge.org/core/journals/journal-of-glaciology/article/albedo-reduction-of-ice-caused-by-dust-and-black-carbon-accumulation-a-model-applied-to-the-ktransect-west-greenland/3FE93E004C1793A5DC4D78FD4CD1ECB4

# **Selected Snow Products from Optical Satellite data**

GlobSnow, 1 km, Fractional SE



Pathfinder, 5 km, Fractional SE



JAXA GHRM5C, 5 km, Binary SE

CryoClim, 5 km, Fractional SE

AutoSnow, 4 km, BinarySE



MEaSUREs, 25 km, Binary SE



IMS, 4 km, Binary SE



JAXA MDS10C, 5 km, Binary SE



MOD10\_C5, 0.5 km, Fractional SE



MODSCAG, 0.5 km, Fractional SE



#### Hemispheric snow products reprojected in EASE-GRID 2.0



# **Applications in Cryosphere: Optical Sensors**

- Snow and ice areas mapping
- Lake ice monitoring
- Glacier mapping
- Albedo
- Glacier facies (snow, firn, ice, debris, supraglacial lakes, etc.)
- Snow and ice properties
- Ice motion (offset tracking)
- Ice sheet boundaries
- Surface topography

For more information, see the tutorial:

<u>11. Generating high resolution binary and fractional snow</u> <u>maps from Sentinel-2 data using SNAP software</u>





Visualized NDSI over New Zealand, acquired on 2019-09-19.

Snow Cover Duration Mont-Blanc area for during an hydrological September vear (1 to 31 August 2016 2017) produced bv over duration (01-Sep-2017 to 31-Aug-2018) SVNTHESIS Theia Of snow-covered surface products.

Source: https://custom-scripts.sentinel-hub.com/customscripts/sentinel-2/ndsi/, https://www.theia-land.fr/en/product/snow/







# 12. Retrieval of digital elevation model(DEM) from ESA EO data andcomparison with LiDAR outputs

# What is DEM?

- A Digital Elevation Model (DEM), also referred to as the Digital TerrainModel (DTM) is a digital model or three dimensional (3D) representation of the land surface elevation with respect to any reference datum.
- Technically a DEM contains only the elevation information of thesurface, free of vegetation, buildings and other non ground objects with reference to a datum such as Mean Sea Level (MSL).



Source: https://www.surveyinggroup.com/dsm-dem-dtm-elevation-models-in-gis/

# Use of DEM

Digital Elevation Models (DEMs) are used in many applications in the context of earth sciences:

- Topographic mapping
- Environmental modelling
- Rainfall-runoff studies
- Watershed management
- Coastal management
- Landslide hazard zonation
- Seismic source modelling

• etc....



# **Types of DEM**

- Digital Terrain Models (DTM)
  the height of the earth's surface in relation to otherpoints
- **Digital Elevation Models (DEM)** the height of the earth's surface specifically in relation to a standard global/regional elevation (normally sea level).
- Digital Surface Models (DSMs)
  - the height of the surface including objects (buildings, vegetation, etc).



Source:https://commons.wikimedia.org/wiki/File:The\_difference\_be tween\_Digital\_Surface\_Model\_%28DSM%29\_and\_Digital\_Terrain\_ Models\_%28DTM%29\_when\_talking\_about\_Digital\_Elevation\_mo dels\_%28DEM%29.svg

# **DEM Retrieval**

- DEMs are generated by using the elevation information from several points spaced at regular or irregular intervals.
- DEMs are commonly built using data collected using remote sensing techniques such as photogrammetry and LIDAR, but they may also be built from land surveying.



## **DEM Retrieval**

 Since the early 21st century, the development of LiDAR technology and point-cloud processing methods has enabled the derivation of both Digital Terrain Models (DTMs) and Digital Surface Models (DSMs) from a single data source.



# **DEM Quality**

The quality of a DEM is a measure of how accurate elevation is at each pixel (absolute accuracy) and how accurately is the morphology presented (relative accuracy).

Several factors play an important role for quality of DEM-derived products:

- terrain roughness
- sampling density
- grid resolution or pixel size
- Interpolation algorithm
- vertical resolution
- terrain analysis algorithm
- reference 3D products include quality masks that give information on the coastline, snow, etc.



## Free DEM data sources

#### 1. Space Shuttle Radar Topography Mission (SRTM)

- 1-arc second global digital elevation model with a spatial resolution of about 30 meters covering most of the world with absolute vertical height accuracy of less than 16m
- SRTM DEM data is being howsed on the USGS EarthExplorer server

#### 2. ASTER Global Digital Elevation Modal

- A joint operation between NASA and Japan was the birth of Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER)
- ASTER GDEM boasted a global resolution of 90 meters with a resolution of 30 meters in the United States
- You can download the ASTER DEM data for free from the USGS EarthExplorer

#### 3. JAXA's Global ALOS 3D World

- 30-meter spatial resolution digital surface model (DSM) constructed by the Japan Aerospace Exploration Agency's (JAXA)
- The most precise global-scale elevation data at this time using the Advanced Land Observing Satellite "DAICHI" (ALOS)
- The DSM was generated using stereo mapping (PRISM) for worldwide topographic data with its optical stereoscopic observation
- In order to obtain this highly accurate DSM, you have to register online through the "JAXA Global ALOS portal"

#### 4. Indian Portal Bhuvan

• CARTOSAT 1 and 2 derived stereo DEM, available for entire India freely





# **Retrieval of DEM using Sentinel-1 radar data**

- The launch of Sentinel-1 by the European Space Agency (ESA) in 2014 marked the beginning of a new era in openly available radar data under the Copernicus Programme.
- This C-band radar mission, comprising Sentinel-1A (S1A) and Sentinel-1B (S1B), provides data at a spatial resolution of 5 × 20 m (single look complex).
- While primarily designed for differential radar interferometry (DInSAR) to measure surface deformations, its capability to derive Digital Elevation Models (DEMs) is limited. Despite this, studies on absolute elevations or object heights are scarce and often associated with exaggerated expectations.



This steps allow the user to create a DEM product from two Sentinel-1 SLC scenes:

- Data Pre-processing
- Coregistration
- Interferogram Formation and Coherence Estimation
- Visualize Interferometric Phase TOPS Deburst
- Multi-looking and Phase Filtering
- Phase unwrapping and import
- Create the DEM Convert Phase to Elevation
- Geocode the DEM

#### For more information, see the tutorial:

<u>12. Retrieval of digital elevation model (DEM) from Sentinel-1/DEM generation</u> with Sentinel-1, comparison with LiDAR outputs, using SNAP software



Steps of DEM generation with Sentinel-1: (a) Sentinel 2 image from 02.07.2019 (for visual reference), (b) Sentinel-1 image from 02.07.2019, (c) interferogram from 26.06.19 and 02.07.2019, (d) coherence image, (e) unwrapped interferogram, and (f) hillshade of the derived DEM.

#### **Preparation**

Choosing appropriate image pairs is essential for successful DEM generation. Key considerations include:

**1.Minimizing Temporal Decorrelation:** Select image pairs with a short temporal baseline to reduce the risk of phase decorrelation. Longer time gaps between acquisitions can lead to out-of-phase signals, particularly over vegetation, water, or areas with changing moisture conditions.

**2.Optimal Perpendicular Baseline:** The distance between satellite positions during image acquisition should ideally be between 150 and 300 meters. This ensures sufficient angle between acquisitions, allowing for the retrieval of topographic variations through parallax-like effects.

**3.Considerations for Sentinel-1:** Sentinel-1 was primarily designed for deformation retrieval (DInSAR) rather than DEM generation, resulting in predominantly short baselines, often below 30 meters. Finding image pairs with short temporal baselines and large perpendicular baselines can be challenging.

**4.Monitoring Atmospheric Conditions:** Select images acquired during dry periods to minimize phase delays caused by atmospheric water vapor. Avoid selecting images acquired during rainfall events, as they may decrease measurement quality.

**Preparation** 



Coherence (top) and interferograms (bottom) for selected temporal baselines. For reasons of visualization, the interferograms are combined with a hillshade representation retrieved from the SRTM data.

#### Coregistration

In order to utilize the phase difference between the acquisitions, it is necessary to first create a stack containing both products. Coregistration involves aligning both products with sub-pixel accuracy using image statistics.

#### **TOPS Split**

The S-1 TOPS Split function is utilized to filter and select specific bursts required for analysis.

#### **Applying Orbit Information**

Orbit auxiliary data comprises details regarding the satellite's position at the time of data acquisition. SNAP automatically incorporates it into the metadata using the Apply Orbit File operator.



#### Coregistration

# Back Geocoding and Enhanced Spectral Diversity

- The S-1 Back Geocoding operator, aligns the two split products utilizing orbit details included in the preceding step and data from a digital elevation model (DEM) obtained through SNAP.
- To enhance the coregistration quality, the S-1 Enhanced Spectal Diversity (ESD) operator is utilized. It implements range and azimuth shift corrections to the secondary image.



Figure 15: Successful (top) vs. failed (bottom) coregistration in an RGB image

#### Forming an Interferogram

#### Forming a raw interferogram

An interferogram is created by multiplying the reference image by the complex conjugate of the secondary image. The amplitude of both images is multiplied, while the phase indicates the phase difference between them. The interferometric phase of each SAR image pixel is determined solely by the variance in travel paths from the two SARs to the resolution cell being considered. Thus, the resulting interferogram displays phase fluctuations.



Figure 18: Interferogram (top) and coherence (bottom)

#### **Tops Debursting**

The next stage in interferometry using Sentinel-1 TOPS mode (IWS) data involves "debursting" or merging the bursts to remove the seamlines, a step not required with Sentinel-1 or other stripmap SAR data.

#### **Goldstein Phase Filtering**

Interferometric phase can be corrupted by various sources - noise from temporal and geometric decorrelation, volume scattering, processing errors, etc. However, the quality of fringes present in the interferogram can be improved by employing specialized phase filters like the Goldstein filter. This filter utilizes Fast Fourier Transformation (FFT) to enhance the signal-to-noise ratio of the image.



Figure 21: Interferogram before (left) and after (right) Goldstein phase filtering

#### **Create subset**

In the earlier stages of processing, empty pixels along the interferogram's perimeter may have been created, particularly in regions not overlapped by both input images. To remove these sections, employ the Subset function found under Raster. This approach will also decrease processing time in subsequent stages, especially when the analysis is concentrated on a specific area rather than the entire scene.



Figure 23: Interferogram before (top) and after (bottom) creating the subset
#### Phase unwrapping

- In order to correlate the interferometric phase with topographic height, the phase must undergo an unwrapping process.
- The altitude of ambiguity refers to the altitude difference that causes a change in the interferometric phase
- Phase unwrapping resolves this ambiguity by integrating the phase difference between adjacent pixels
- Once unwrapped, the phase variation between two points on the flattened interferogram provides a measurement of the actual altitude variation
- Consequently, unwrapped results should be interpreted as relative height or displacement between pixels in two images.







Figure 28: Phase before (top) and after (bottom) unwrapping

#### Phase to elevation

- The unwrapped phase data is now a continuous raster that has to be converted into a metric measure - to achieve this conversion from radian units to absolute heights is employed
- This operation translates the phase values into surface elevations along the line-of-sight (LOS) in meters. To ensure accuracy, a Digital Elevation Model (DEM) is utilized to align the elevation values correctly.





#### **Terrain correction**

 Involves geocoding the image by rectifying SAR geometric distortions, such as foreshortening, layover, and shadow, with the aid of a digital elevation model (DEM), resulting in a map-projected product





Figure 33: Geometric distortions in radar images (Braun 2019)

#### **Final DEM**

• A digital elevation model (DEM) that can be exported to other GIS softwares



### **Tradeoffs of DEMs created with Sentinel-1 C-band**

- Unfortunately, the C-band used by Sentinel-1 doesn't penetrate through vegetation. Consequently, DEMs derived from C-band radar don't directly measure the Earth's surface but instead depict the top of the canopy. However, Sentinel-1 offers excellent temporal coverage, resulting in lower temporal decorrelation compared to previous sensors.
- L-band radar exhibits higher coherence compared to C-band radar due to less decorrelation from vegetation. Consequently, creating accurate DEMs from Sentinel-1's C-band radar is more challenging than from ALOS's L-band radar.



Sensitivity of SAR measurements to forest structure and penetration into the canopy at different wavelengths used for airborne or spaceborne remote sensing observations of the land surface. Credit: NASA SAR Handbook.

# **Retrieval of DEM using LiDAR**

- Lidar Light Detection and Ranging is a remote sensing method used to examine the surface of the Earth.
- LiDAR employs pulsed laser light to measure distances to the Earth's surface, generating precise three-dimensional data about its shape and surface features.
- A typical LiDAR setup includes a laser, scanner, and specialized GPS receiver. Aircraft are commonly used to collect LiDAR data over large areas. There are two main types of LiDAR: topographic, which uses near-infrared lasers to map land features, and bathymetric, which uses green light to measure water depth and seafloor elevations.



### **Retrieval of DEM using LiDAR**

### Retrieval of DEM using LiDAR involves:

- Data Acquisition: LiDAR systems measure surface elevation by emitting laser pulses.
- **Point Cloud Generation:** LiDAR data creates a dense point cloud representing terrain features.
- Filtering and Classification: Algorithms remove noise and classify ground points.
- **Surface Interpolation:** Interpolation methods generate a continuous surface from ground points.
- **DEM Generation:** The interpolated surface forms the basis for creating a Digital Elevation Model.
- Validation: The accuracy of the LiDAR-derived DEM is assessed for quality assurance.





# **Comparison of Optical, SAR and LiDAR parameters**

Characteristics/ Properties	Optical	SAR	LiDAR
Electromagnetic range	0.4µm to 1mm	1mm to 1m	250 nm to 10 μm Mostly monochromatic wavelength is used. Recently some systems like Optech Titan started the use of multiband data
Sensor direction for the receiving of electromagnetic radiation	Near vertical	Oblique	Near vertical
Information in pixel	Spectral reflection of the particular band.	Amplitude and phase	Not applicable because 3D point cloud is formed that contains the planimetric, altitude and intensity data.
Range Resolution (Weijie and Xiaojie, 2016)	Ground range is used.	Slant range is used.	3D point cloud is formed.
Dielectric constant	Independent	Highly dependent	Independent
Coverage Area	Large	Large	Small
Type of errors (Devapal et al., 2019)	Skew distortion, panoramic distortion, haze, error due to varying solar illumination conditions and error due to unstable platform.	Speckle, layover and foreshortening.	Error due to unstable platform, multipath error, calibration errors of GPS and inertial measurement unit.
Classification error due to self and cast shadow (Lin et al., 2019)	It is affected by the shadow that leads to the misclassification of pixel into low reflectance objects like water.	It is affected from the shadow that leads to the misclassification.	Its integration with other data helps to identify the shadow that improves the classification.

Source: https://isprsarchives.copernicus. org/articles/XLII-5-W3/1/2019/isprsarchives-XLII-5-W3-1-2019.pdf

# **Comparison of Optical, SAR and LiDAR parameters**

	P			
Weather dependence (Liu et al., 2019)	Cannot penetrate through clouds; therefore, image is acquired only in clear weather.	Microwaves can penetrate through clouds; therefore, image is acquired in any weather.	Scanning should be performed in cloud free condition.	
Cost	Free to expensive. Prize varies according to images resolution. Most of the high resolution images are not free of cost.	Free to expensive Prize varies according to images resolution	High cost Very small amount of data is freely available.	
Survey Customization	Only aerial photography can be customized.	Not possible	Airborne and terrestrial scanning can be customized.	
Terrain mapping continuity	Provides a continuous mapping of terrain.	Provides a continuous mapping of terrain.	Does not provide a continuous mapping of terrain. Sampling is done at a certain interval through laser pulses.	
Displacement detection	Horizontal	Horizontal and vertical	Horizontal and vertical	
Bathymetry	Visible and IR waves cannot transmit through water	Microwaves can transmit through water.	Green light is used that can transmit through water.	
DEM (Joyce et al., 2009; Polat et al., 2015)	It can be generated by using photogrammety on stereo pair. Terrain slope effects the DEM generation.	The interferogram is generated by using two complex SAR images and then by using phase unwrapping technique DEM is generated.	Raw data contains the elevation information. Filtering is applied on it to remove the non-ground points. Interpolation is then applied to generate the DEM.	
Parallax measurement	Parallax measurement accuracy is usually from centimeters to meters, in the order of the resolution of the imagery.	The accuracy of InSAR parallax measurement is typically ranges from several millimeters to centimeters, in the fraction of SAR wavelength	Not applicable.	
Maturity	High. Instruments and algorithms are available and well tested	Medium	Low, it is still in the conception stage.	

Source: https://isprsarchives.copernicus. org/articles/XLII-5-W3/1/2019/isprsarchives-XLII-5-W3-1-2019.pdf







### 13. Marine applications: nearshore bathymetry, sea surface monitoring

# What is Bathymetry?

- Bathymetry is the study of underwater depth of the oceans and the topography of the sea/ocean floor
- It involves measuring and recording water depths to create maps, which provide valuable information about underwater features
- Bathymetric data is essential for various applications, including marine navigation, oceanographic research, coastal zone management, marine resource exploration, engineering work, port management, pipeline laying and fishing



For more information, see the tutorial: 13. Marine applications: deriving nearshore bathymetric model with Sentinel 2 data using SNAP software

# **Evolution of Bathymetric mapping**

- **Historically** methods like premeasured ropes or cables to measure seabed depth - slow and inefficient approach
- Acoustic echo-sounding (single-beam and multi-beam) faces limitations in turbid waters due to sound wave absorption
- Airborne laser bathymetric (ALB) LiDAR
  systems effective but costly
- **Remote sensing -** has emerged as a promising tool for ocean bathymetry mapping due to its wide coverage, low cost, and repeatability
  - recent launches of satellites like Sentinel-1 or lkonos, QuickBird, WorldView-2 provide highresolution imagery



BATHYMETRIC METHODS							
Acoustic methods	Lidar	Remote sensing methods (EM spectrum)					
Pros: High quality data (IHO standard + high resolution)	Cons: Time and/or money consuming especially in shallow areas	SDB	Gravity	SAR radar			
		Pros: Time and money efficient					
		Cons:					
		Depth limitation (up to 30 meters)	Resolution and accuracy	Depth limitation (10 m to 70 m)			

Source:https://fig.net/resources/proceedings/fig\_proceedings/fi g2021/ppt/ts04.1/TS04.1\_vrdoljak\_kilic\_10940\_ppt.pdf

# Approach for satellite imagery

- Interactive/empirical methods
  - Relative brightness to water depth
- Photogrammetric/stereo approach
  Find matching points on seafloor
- Multispectral, physics based approach
  - Resolve light-transfer and retrieve optical properties



Source: https://www.lidar-america.com/aerial-bathymetry-lidar

### **Common bands used in bathymetry**

Bathymetry is typically conducted using sensors that operate within specific wavelength ranges:

- Optical remote sensing: blue (0.45–0.5 μm), green (0.5–0.57 μm), red (0.61–0.7 μm), NIR (0.7–1.3 μm) and SWIR (1.5–3 μm, sometimes also referred as middle infrared, MIR) bands have been commonly used in water-related applications
- **Bathymetric LiDAR systems:** typically operate in the near-infrared spectrum
- Synthetic Aperture Radar (SAR): operating in the microwave spectrum. The C-band and X-band SAR sensors have been utilized for bathymetry studies



Light Penetration in Lake Superior (Open Water, Clear Day)



Source: https://www.youtube.co m/watch?app=desktop &v=KF2j4sH7pkE, seagrant.umn.edu

### Satellites for mapping seas and oceans

### **MEASURING SEA-LEVEL CHANGE**

Since the early 1990s, satellite altimeters have revolutionised our understanding of sea-level change



Since the early 1990s, satellite altimeters have revolutionised our understanding of sea-level change.

**·**eesa

Satellites equipped with radar altimeters capture surface topography along their path over the Earth's surface. These instruments precisely determine the satellite's elevation above various features such as water, land, or ice by measuring the time taken for radar pulses to travel to the surface and back. This technology is crucial for monitoring changes in sea level globally.

Credit: ESA

# Satellite-derived bathymetry (SDB)

- Satellite-derived bathymetry (SDB) has existed in practice since at least the 1970s to estimate water depth in clear, shallow water
- The process is based on the observation of waterleaving radiance, knowledge of light attenuation with depth in clear water, and a model calibrated using in-situ depth measurements
- More complex approaches use radiative transfer modeling of the water body's inherent optical properties (IOPs)
- The remote sensing of aquatic properties includes many challenges, such as detecting and correcting for sun glint, wave action, high suspended sediment, or type of bottom



Source:https://www.sciencedirect.com/science/article/pii/S030324342 2000198

Several Earth Explorer missions launched by the European Space Agency (ESA) provide data that can be utilized for mapping seas and oceans:

- **GOCE:** provides valuable data for studying ocean currents, sea level changes, and ocean circulation patterns
- **CryoSat:** provides valuable data on sea ice thickness and changes in sea level, which contribute to understanding ocean dynamics
- **Swarm:** provides data on ocean circulation and currents by measuring variations in the Earth's magnetic field caused by oceanic processes
- **SMOS:** can be used to study ocean dynamics and surface currents



Source:https://www.esa.int/ESA\_Multimedia/Images/2012/11/ESA\_s\_Earth\_ Explorers\_satellites

#### **GOCE: Ocean Currents**





Weekly evolution of ocean surface currents from January 1993 to December 2011.

Gravity data from GOCE geoid & sea altimetry data

© ESA/CNES/CLS

Source: https://www.esa.int/ESA\_Multimedia/Images/2014/11/Ocean\_currents\_from\_GOCE

#### **SWARM: Magnetic tides**



Source: https://www.esa.int/Applications/Observing\_the\_Earth/FutureEO/Swarm/Swarm\_tracks\_elusive\_ocean\_magnetism

The magnetic tidal signal measured by Swarm is important for ocean and climate modelling, and is used to determine the electrical properties of the Earth's lithosphere and upper mantle.

Source: ESA

#### **SMOS: Sea-ice change**



Global sea-surface salinity maps from ESA's Climate Change Initiative showing the difference for the same period in 2012 and in 2017. Note the differences in the spreading of the Amazon and Mississippi River plumes.

### **CRYOSAT: Marine gravity map**



- Scientists from Scripps Institute of Oceanography at University California San Diego used altimetry measurements from ESA's CryoSat mission and from the CNES–NASA Jason-1 satellite to create a new marine gravity map – twice as accurate as the previous version produced nearly 20 years ago.
- CryoSat's main task is to measure the elevation of the world's ice but its altimetry measurements acquired over oceans measure sea-surface height, and this can be used to create global marine gravity models and, from them, eventually derive maps of the seafloor.

Credits: ESA

ESA's Sentinel satellite missions, including Sentinel-1, Sentinel-2, Sentinel-3, and Sentinel-6/Jason-CS, provide vital data for mapping and monitoring the Earth's seas and oceans:

Sentinel-1's Synthetic Aperture Radar (SAR) - tracks sea ice extent, ocean surface wind fields, and oil spills

**Sentinel-2** offers insights into coastal erosion and coral reef health

**Sentinel-3** is dedicated to oceanography, monitoring sea surface temperature, ocean color, and sea level rise

**Sentinel-6/Jason-CS** continues measuring sea surface height, aiding in understanding ocean circulation and climate change



### **Sentinel-1 – Applications**



#### **Sentinel-2 – Applications**



This animation features imagery from the German RapidEye satellites to demonstrate how the future Sentinel-2 mission will be able to monitor land and coastal zones.

Credits: ESA

#### **Sentinel-3 – Applications**



 This new map shows a month of 'sea-level anomaly' measurements from Sentinel-3A. The satellite has only been in orbit since 16 February 2016 and is therefore still being commissioned for service. Credits: ESA



In this night-time image of SST from AATSR, the coldest areas - which include all the land - are shown in purple and blue, whilst yellow and orange are used to represent successively warmer temperatures over a total range of 280-295 K. Credit: RAL

#### Sentinel-6/Jason-CS – Surfing the seas



The images of Russia's Ozero Nayval Lagoon and surrounding rivers show multiple views from Copernicus satellites. The first is a 10-m resolution 'camera-like' image captured on 29 October 2020 by Copernicus Sentinel-2. The image is marked with the ground track of Copernicus Sentinel-6 as it crosses the region. The second is a radar image captured on 29 November 2020 by Copernicus Sentinel-1 in interferometric wide swath mode and processed to 10 m resolution. The lagoon has frozen over and numerous cracks are visible in the ice. Ocean swell and wind sea roughness are also seen in the ocean with some wave reflection and refraction on the southern coastal areas. The next image uses Copernicus Sentinel-6 pulse-limited low-resolution mode data for the same area. In this mode, similar to Jason-3, the strongest radar reflections appear as overlapping parabola features, but no discrimination of the ground can be made. Overlying the third image, the Copernicus Sentinel-6 Poseidon-4 fully-focused synthetic aperture radar image reveals features of the Ozero Nayvak Peninsular in fine detail.

### **ESA's Third Party Missions**

Many of ESA third party missions also provide datasets for monitoring Earth's water cycle, e.g.:

- Pléiades Neo: very high resolution data can be used for both hydrological and bathymetry applications, allowing deeper penetration in water bodies and a clearer understanding of underwater relief
- Ocean-Sat: data on ocean chlorophyll can help research into primary production and the monitoring of phytoplankton blooms
- **RADARSAT-2:** data provide valuable information about sea surface conditions, currents, and wave patterns, can be used for bathymetric mapping, particularly in shallow coastal areas or regions with limited optical visibility





Source:https://intelligence.airbus.com/newsroom/casestudies/pleiades-neo/dhi-water-management-from-space/

### **ESA's Heritage Missions**

#### ERS-1 and -2

 data acquired for more than 20 years to track sea surface topography and winds, moisture transfers

#### Envisat

- data on water quality over long time periods



Gravity field over the Pacific Ocean's Emperor Seamounts based on CryoSat, ERS and Geosat satellite altimeter measurements of ocean-surface height. Source: https://www.esa.int/ESA\_Multimedia/Images/2012/05/Improving\_bathymetry





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European Space Agency